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## <span id="page-0-0"></span>**Doctoral Dissertation**

## **Applying Deep Grammars to Machine Translation, Paraphrasing, and Ontology Construction**

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## **Applying Deep Grammars to Machine Translation, Paraphrasing, and Ontology Construction**

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#### **Abstract**

Many challenging tasks in the field of Natural Language Processing, such as machine translation, linguistic resource construction, paraphrasing, and natural language understanding, are strongly linked to the problem of semantic representation. These semantically-challenging tasks, as we call them, are not easily solved by the shallow, data-driven approaches that have come to dominate our field.

As researchers recognize the limitations with shallow approaches, they are beginning to apply more sophisticated linguistic information, as evidenced by the shift from word- and phrase-based models to syntactic models in statistical machine translation. However, deep grammars producing rich semantics are often dismissed due to concerns about coverage or complexity.

In this thesis, we show that deep grammars can make meaningful contributions to data-driven NLP by applying Head-driven Phrase Structure Grammars (HPSG) to several semantically-challenging tasks. Our parser produces Minimal Recursion Semantics (MRS), a formalism detailed enough to represent a variety of linguistic phenomena while remaining simple and flexible enough to avoid coverage and complexity issues.

We apply MRS to three tasks: (i) the expansion of a semantic transfer-based Japanese-English MT system, (ii) application of paraphrasing to improve a phrase-based statistical machine translation system, and (iii) ontological construction from machinereadable dictionaries achieving state-of-the-art performance for each one.

#### **Keywords:**

<span id="page-2-0"></span>machine translation, ontology construction, paraphrasing, HPSG, MRS

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## 機械翻訳、言い換え、オントロジー構築への深い文法の 適用

エリック・ニコルズ

#### 内容梗概

自然言語処理の分野においては、機械翻訳をはじめとして、言語資源構築、言 い換え、自然言語理解等の、数多くのタスクが意味解析を必要とする。こ のようなタ スクは"semantically-challenging tasks"と呼ばれ、現在自然 言語処理分野で主流と なっている、統計処理を中心としたコーパスベースな どの比較的浅い処理で解決す ることはきわめて難しい。

統計的機械翻訳において、語やフレーズに基づくモデルから統語構造を利用 し たモデルへと研究の深化があったように、こうした浅い処理に限界を感じ ている研 究者は、更に深い言語に関する情報に目を向けている。しかしなが ら、意味情報を 提供する深い文法は、文法の複雑さやカバレージに関しての 懸念により多くは利用 されていない。

本稿では、Head-driven Phrase Structure Grammar (HPSG)をsemanticallychallenging tasksに適用することでコーパスベー スの自然言語処理に対して深い 文法が大きく貢献できる事を示す。ここで用 いるHPSG解析器が出力するMinimal Recursion Semantics (MRS)は、柔軟で扱 いやすいことから先に述べた複雑さやカ バレージの問題に影響を受けにくく 多様な言語現象を示すことができる意味表現 である。

ここでは、意味変換に基づく機械翻訳システムの拡張、フレーズベース統計 的 機械翻訳の改善のための言い換えの適用、電子化された辞書からのオント ロジー 構築のタスクで深い文法を用いることにより高い精度を得た。

#### キーワード

機械翻訳, 意味変換, 資源獲得, HPSG, MRS

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I would like to express my gratitude to the developers of the tools and resources used in this research for their hard work. In particular, my thanks go to Dr Taku Kudo for Mecab and Cabocha; to Jim Breen for his excellent Japanese-English dictionary; to the late Professor Yasuhito Takana and his students for compiling the Tanaka Corpus; to the Moses developers; and to the WordNet and GoiTaikei developers.

Many thanks to Alex Shinn and Michael Goodman for risking their sense of English well-formedness to evaluate the output of our machine translation systems. I hope no lasting harm was done.

Finally, this thesis is dedicated to my mother. Mom, thank you for always believing in me.

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## **Introduction**

## <span id="page-12-1"></span>**1 Motivation**

Many challenging tasks in the field of natural language processing, such as machine translation, linguistic resource construction, paraphrasing, and natural language understanding, are strongly linked to the problem of semantic representation. These semantically-challenging tasks, as we call them, are not easily solved by the shallow, data-driven approaches that have come to dominate our field.

As researchers recognize the problems with shallow approaches, they are beginning to apply more sophisticated linguistic information, as evidenced by the shift from word- and phrase-based models to syntactic models in statistical machine translation and the increasing use of syntactic information in information retrieval tasks. However, deep grammars that produce rich semantic representations are often dismissed due to concerns about coverage or complexity.

In this thesis, we show that deep grammars can make meaningful contributions to data-driven NLP by using Head-driven Phrase Structure Grammars (HPSG) to achieve state-of-the-art performance on several semantically-challenging tasks. Our HPSG parser produces Minimal Recursion Semantics (MRS), a semantic formalism that is detailed enough to represent a variety of linguistic phenomena while remaining simple and flexible enough to avoid coverage and complexity issues.

## <span id="page-13-0"></span>**2 Thesis Organization**

We apply MRS to three tasks: the expansion of a prototype semantic transfer based machine translation system, ontological acquisition from machine-readable dictionaries, and applying paraphrasing to improve the performance of a phrase-based statistical machine translation system. This thesis is organized as follows.

In Chapter [2,](#page-14-0) we expand the semantic transfer based machine translation system by hand-crafting a small number of rules for easily-generalizable linguistic phenomena, and automatically acquiring more rules from bilingual dictionaries, parallel corpora via a bootstrapping alignment technique, and finally from an SMT system's phrase tables.

In Chapter [3,](#page-47-0) we reconsider the task of machine translation from a statistical perspective and use HPSG to improve the quality of phrase-based SMT by using paraphrasing to increase its training data. We achieve statistically significant improvements over a state-of-the-art baseline on two different corpora.

In Chapter [4,](#page-67-0) we automatically acquire ontological relations by parsing dictionary definition sentences, identifying the semantically most relevant word, and inferring the ontological relation with the definition word. We successfully construct ontologies for both Japanese and English from several dictionaries and align them with existing ontologies to evaluate their coverage and quality. Our method achieves state-of-the-art performance, outperforming shallow, pattern-matching based approaches.

In Chapter [5,](#page-87-0) we discuss our contributions to the open-source natural language processing community. These contributions include the foundation of Ubuntu NLP, a repository of NLP software packaged for Ubuntu Linux; development of *Moses Make*, a makefile-based system for automating the development and testing of Moses statistical machine translation systems; and our various contributions to the DELPH-IN deep processing community.

Finally, in Chapter [6](#page-91-0) we summarize this thesis and discuss our contributions to the state of natural language processing.

## <span id="page-14-0"></span>**Chapter 2**

# **Semantic Transfer-based Machine Translation**

### <span id="page-14-1"></span>**1 Overview**

In this chapter, we describe the expansion of a prototype Japanese  $\rightarrow$  English semantic transfer machine translation system [\(Bond et al., 2005\)](#page-125-0) based on the LOGON framework [\(Oepen et al., 2004a\)](#page-130-0). We greatly increase the translation coverage and quality on two Japanese-English parallel corpora by using a combination of hand-crafted transfer rules to produce high-quality translations of closed category expressions, and automatically acquired rules to cover many open category expressions.

To automatically acquire transfer rules from a variety of sources, we extend the bilingual dictionary-based transfer rule acquisition method of Nygård et al. [\(2006\)](#page-129-0) using templates to map arbitrary source-target pairs to valid LOGON rule types. With these templates, we acquire transfer rules from three sources: (i) a Japanese-English dictionary, (ii) the phrase tables of a statistical machine translation system, and (iii) parallel corpora via bootstrapping from partial transfer results.

We construct a phrase-based statistical machine translation system to use as a fallback system and baseline for comparison and conduct quantitative and qualitative evaluation of our system with automatic metrics and a small-scale human evaluation.

## <span id="page-15-0"></span>**2 Motivation**

While there have been many advances in the field of machine translation, it is widely acknowledged that current systems do not yet produce satisfactory results. At the same time, many researchers also recognize that no single paradigm solves all of the problems necessary to achieve high coverage while maintaining fluency and accuracy in translation [\(Way, 1999\)](#page-132-0).

Data-driven approaches, like statistical machine translation and example-based machine translation, have gained a lot of attention for their ability to automatically acquire the resources necessary for machine translation for parallel corpora, however, by-andlarge these approaches do not explicitly encode the linguistic knowledge necessary to handle Japanese-English and other divergent language pairs and generate natural, grammatical output.

On the other hand, rule-based and knowledge-based approaches suffer from coverage problems. The resources necessary for these systems are difficult and costly to construct, and it is infeasible to manually encode all necessary linguistic knowledge by hand. In addition, many knowledge-based systems are proprietary in nature, limiting resource sharing between systems. This often leads to researchers often reinventing the wheel when building new systems.

We take the position that translation is fundamentally a problem of meaning preservation, and that detailed linguistic analysis is essential in meeting the goal of highquality translation. However, faced with the aforementioned problems, we recognize that any new approaches need to focus on striking a balance between automatic acquisition of translation resources and manually encoded linguistic knowledge.

## <span id="page-15-1"></span>**3 Research Goals**

The ultimate aim of this research is to have a robust, high quality and easily extensible Japanese $\rightarrow$ English machine translation system. Current statistical MT systems are robust and of fair quality, but only for those domains and language pairs where there is a large amount of existing parallel text. Changing the type of the text to be translated causes the quality to drop off dramatically [\(Paul, 2006\)](#page-130-1). Quality is proportional to the log of the amount of training data [\(Och, 2005\)](#page-129-1), which makes it hard to quickly extend a

system. Rule-based systems can also produce high quality in a limited domain [\(Oepen](#page-130-0) [et al., 2004a\)](#page-130-0). In addition, it is relatively easy to tweak rule-based systems by the use of user dictionaries [\(Sukehiro et al., 2001\)](#page-131-0), although these changes are limited in scope.

This leaves the problem of how to build a system that is both high quality and easily extensible. To gain high quality, we accept the brittleness of a rule-based semantic transfer system. In particular, by using a precise grammar in generation we ensure that the output is almost always grammatical. Rule types are hand-made. As far as possible we share types with the Norwegian $\rightarrow$ English system developed in the LOGON project [\(Oepen et al., 2004a\)](#page-130-0). To make the system easier to extend, we construct transfer rules instances from a plain bilingual dictionary. As far as possible, we aim to concentrate our rule building efforts on closed-class words, and then fill in the open class transfer rules by automatic conversion of the bilingual lexicon. Finally, we learn extra rules from parallel corpora.

#### <span id="page-16-0"></span>**3.1 High Quality Translations**

While online translation services such as Babelfish, Altavista, and Google Translate have helped popularize the use of machine translation to get the gist of foreign language documents, there are still many tasks that require high quality translation output that preserves the meaning of the source text.

Statistical approaches that make use of little structural information are at a disadvantage in this case. Often subtleties in word order can result in a drastically different meaning, and pronouns, markers of gender or agreement, and grammatical indicators such as negation can be difficult to learn with n-gram models.

MRS contains the linguistic knowledge required for handling agreement, word order, subcategorization and other phenomena. In addition, MRS represents syntactic categories in a type-hierarchy structure, providing generalizations that simplify transfer rule development, and it enumerates subcategorization information that is useful in cross-lingual alignment.

#### <span id="page-16-1"></span>**3.2 Flexible Knowledge Representation**

Our goal is to produce a machine translation system that represents its translation knowledge in a format flexible enough that transfer rules can be acquired automatically yet still be understandable to humans. This will allow users to supplement the system's rules with their own linguistic knowledge, making the system customizable to their needs. The Japanese-English MT system we present in this thesis uses a flexible semantic representation produced by high-coverage lexical grammars as its transfer language. This approach gives our system access to the knowledge it needs to generate natural language, while at the same time, the transfer language is sufficiently abstracted away from the syntactic level to eliminate rules with language-dependent features such as word order. Our system makes it easy to represent alignments on a linguistically-meaningful level.

### <span id="page-17-0"></span>**3.3 Automatically Acquired Knowledge**

It is impractical to attempt to manually encode all of the linguistic knowledge necessary for high-quality machine translation. We take a pragmatic approach in the construction of our system by hand-crafting rules for only the most essential linguistic phenomena. Rules of a lexical nature are automatically acquired from dictionaries and parallel corpora. By combining engineered knowledge and automatically acquired resources in this manner, our system can achieve robust coverage and high translation quality.

#### <span id="page-17-1"></span>**3.4 Open Source Resources**

Similar machine translation projects have been worked on in the past as summarized in the following section. However, the majority of these systems are of a proprietary nature; when the project concludes, the resources that were developed are often not made available to other researchers, so it is difficult for the field to directly benefit from what was learned throughout the course of development.

We recognize the problem that closed resources poses to machine translation systems that use detailed linguistic representations, so one of our goals is to make as many of our resources freely available to other researchers. Every component of our machine translation system, from the parser to the grammars, is available as open source. In addition, all of the transfer rules that we have produced are also freely distributable

### <span id="page-18-0"></span>**4 Related Research**

In this section, we describe related efforts in machine translation. We limit discussion to recent systems that use rich semantic resources, target the Japanese $\rightarrow$ English language pair, and/or have been released as open source.

#### <span id="page-18-1"></span>**4.1 KBMT-89**

KBMT-89 [\(Goodman and Nirenburg, 1989\)](#page-127-0) is a Japanese $\leftrightarrow$ English machine translation system developed at Carnegie Mellon In the late 1980s. It is developed targeting a small collection of text from IBM PC installation and maintenance manuals.

Although KBMT-89 used *interlingua texts* (ILTs) in place of a transfer mechanism, its creators consider it a knowledge-based approach to machine translation, as is clear from its name. This was likely to emphasize the major role played in the translation process by rich syntactic and semantic resources. KBMT-89 uses Lexical Functional Grammar (LFG: [\(Kaplan and Bresnan, 1982\)](#page-128-0)) for syntactic analysis and generation, and its ILTs are built with semantic knowledge encoded in ontologies and lexicons using the FRAMEKIT knowledge representation framework.

Translation is carried out in KBMT-89 by parsing input text into LFG f-structures and using syntax $\rightarrow$ semantics structural mapping rules to produce ILTs. A module called the *interactive augmenter* is used to disambiguate the ILTs, then semantic and syntactic generation processes produce the final translation.

While the KBMT-89 project made many contributions to the state of the art of knowledge representations for Japanese and English, its success as a machine translation system was more limited. It was designed for a very narrow domain of text (only 300 sentence pairs were used in its development), it was unclear how effectively the system could be automatically extended, and there was little concrete evaluation of its translation quality.

#### <span id="page-18-2"></span>**4.2 ALT-J/E**

**ALT-J/E**, Automatic Language Translator - Japanese to English [\(Ikehara et al., 1991\)](#page-127-1), is a machine translation system that was developed at NTT Communication Science Laboratories in the 1990s and early 2000s. Its goal was speech-to-speech translation over the telephone, requiring high quality translation with little or no pre-editing.

**ALT-J/E** uses a *Multi-Level Translation* paradigm. The system's Japanese input is analysed and split into a *subjective expression* containing tense, aspect, and modal information, and an *objective expression* containing the *kernel sentence*. The subjective and objective expressions are transferred independently and recombined to generate an English translation. The objective expression undergoes transfer with multiple levels of analysis. First parse-tree based transfer rules are applied, followed by idiomatic expression transfer and transfer based on semantic valency information. Finally, general patterns are used to transfer any remaining expressions.

**ALT-J/E** has several noteworthy features. It employs semantic dictionaries containing thousands of ontological relations used to identify idioms, multi-word expressions, and semantic relations between verbs and arguments that require special translations. **ALT-J/E** also automatically rewrites Japanese expressions that cannot be handled by the system into easier to translate forms. In order to provide all of the information necessary for English translations, it also conducts discourse analysis at the paragraph level, filling in ellipsed Japanese arguments, and uses fine-grained lexical distinctions to generate English noun phrases with the correct determiners and countability, and to naturally position adverbs. A Japanese-Malay prototype MT system [\(Ogura et al.,](#page-130-2) [1999\)](#page-130-2) was also developed with the **ALT-J/E** framework.

#### <span id="page-19-0"></span>**4.3 Verb***mobil*

The Verb*mobil* project [\(Wahlster, 2000\)](#page-132-1) was a large-scale international collaboration to build a speech-to-speech translation system for German, English, and Japanese. In was centered at DFKI in Saarbrücken, Germany, included organizations throughout Europe, North America, and Asia, and ran from 1993 to 2000.

Verb*mobil*'s goal was real-time dialog interpretation over the mobile phone to provide users with context-sensitive translations in three business domains. The system used a *multi-blackboard* translation paradigm combining deep and shallow processing to achieve its goal. To achieve robust processing of spontaneous dialog, Verb*mobil* used an underspecified, packed representations that captured non-deterministic nature of language and allowed for the incorporation of linguistic, discourse, domain constraints as they became available throughout analysis.

Verb*mobil* combined five translation engines: statistical translation, case-based

translation, substring-based translation, dialog-act based translation, and semantic transfer using Head-driven Phrase Structure Grammars [\(Pollard and Sag, 1994;](#page-130-3) [Sag et al.,](#page-131-1) [2003\)](#page-131-1), and it was noteworthy for combining deep and shallow processing, using statistical models to choose the best result for each step in the translation process. Many of the resources from the Verb*mobil*, including the HPSG grammars used in this research, were released to the research community and continue to contribute to NLP research.

#### <span id="page-20-0"></span>**4.4 Data Oriented Translation**

Data Oriented Translation (DOT) [\(Poutsma, 2000\)](#page-130-4) is a syntactic tree transfer-based approach to machine translation. Data-Oriented Parsing is used to parse source language text into syntactically-labeled phrase structure trees, and tree fragments are transferred into the target language. Parsing and transfer use packed representations to preserve possible interpretations, with Monte Carlo statistical models used to choose the most likely translation. DOT is similar to syntactic approaches to statistical machine translation such as [Chiang](#page-126-0) [\(2005\)](#page-126-0) and [Watanabe et al.](#page-132-2) [\(2006\)](#page-132-2), however, its creators describe it as an example-based approach. Extensions using LFG to enforce grammaticality constraints or improve translation selections have been proposed [\(Way, 1999\)](#page-132-0), however, efforts have mainly focused on increasing the size of parallel treebanks and decreasing the number of interpretations produced in the translation process.

#### <span id="page-20-1"></span>**4.5 Open Source MT**

Recently, several large open source machine translation projects have been started. Section [5.1](#page-22-0) describes the LOGON system, which provides many of the components for our Japanese $\rightarrow$ English system, Here, we will discuss two other large systems: OpenTrad and OpenLogos.

OpenTrad is a Spanish open source translation initiative consisting of a general MT framework and two engines [\(Armentano-Oller et al., 2005\)](#page-124-1). The engines are Apertium, a shallow transfer system used for Spanish $\leftrightarrow$ Catalan, Galician, and Portuguese, with other languages recently added, including English and French. There is also a structural transfer system used for Spanish $\leftrightarrow$ Basque. Both systems share components (tokenizer, deformatter, reformatter, etc.) and are released under the GNU Public License



<span id="page-21-1"></span>Figure 2.1. The JaEn machine translation architecture

 $(GPL)^1$  $(GPL)^1$ .

OpenLogos is a commercial transfer-based system [\(Scott, 2003\)](#page-131-2) that was developed in the late 1970s and released as open source in 2005[2](#page-0-0) . It can translate from German or English into a number of languages including French, Italian, Spanish, and Portuguese. The system is released under a dual license (commercial/GPL).

## <span id="page-21-0"></span>**5 The JaEn MT System Architecture**

The first version of this system is described in detail in [Bond et al.](#page-125-0) [\(2005\)](#page-125-0). The architecture of our Japanese  $\rightarrow$  English system (hereafter referred to as JaEn) is semantic transfer via rewrite rules, as shown in Figure [2.1.](#page-21-1) The source text is parsed using an HPSG grammar for the source language, and a semantic analysis in the form of Minimal Recursion Semantics (MRS) is produced. That semantic structure is rewritten using transfer rules into a target-language MRS structure, which is finally used to generate text from a target-language HPSG grammar.

Statistical models are used at various stages in the process. There are separate models for analyses, transfer and generation, combined as described in [Oepen et al.](#page-130-5) [\(2007\)](#page-130-5). At each stage we prune the search space, only passing n different results (5 by default) to the next stage.

<sup>1</sup><http://www.gnu.org/licenses/gpl.html>

<sup>&</sup>lt;sup>2</sup>According to the versioning at <http://logos-os.dfki.de/release/>

#### <span id="page-22-0"></span>**5.1 Semantic Transfer Architecture**

The architecture of our Japanese $\rightarrow$ English system (hereafter referred to as "JaEn") is semantic transfer via rewrite rules, as shown in Figure [2.1.](#page-21-1) The source text is parsed using a head-driven phrase structure grammar (HPSG) for the source language. This produces semantic representations of the input in the form of Minimal Recursion Semantics (MRS). That semantic representation is rewritten using transfer rules into a target-language MRS structure, which is finally used to generate text from a targetlanguage HPSG grammar.

Statistical models are used at various stages in the process. There are separate models for analyses, transfer and generation, combined as described in Section [5.5.](#page-26-0) At each stage we prune the search space, only passing  $n$  different results (5 by default) to the next stage.

The grammars and processing systems we use are all being developed within the DELPH-IN<sup>[3](#page-0-0)</sup> project (Deep Linguistic Processing with HPSG Initiative) and are available for download. We also use the statistical machine translation system Moses [\(Koehn et al., 2007\)](#page-128-1), both as a back-off and as a source of alignment data. We learn lexical equivalences from the Japanese-English dictionary JMdict [\(Breen, 2004\)](#page-125-1), and developed targeting the Tanaka Corpus [\(Tanaka, 2001\)](#page-132-3).

We illustrate the transfer process using an example. Consider the Japanese sentence [\(1\)](#page-22-1) and its semantic representation [\(2\)](#page-22-2). The building blocks are elementary predications (EPs), like **uso n**  $2(x_{10})$  "lie" and **tsuku**  $\mathbf{v}(e_2, x_4, x_{10})$ , "attach" corresponding to atomic formulas in predicate logic. Quantifiers (e.g. **sono q**) "that" introduce special relations in an MRS, corresponding to generalized quantifiers, these are introduced for all noun phrases, even if there is no such quantifier in the original text (e.g., the underspecified quantifier  $\mathbf{udef} = \mathbf{q}$ . All EPs are labeled with *handles*, e.g.  $h_9$  is the label on the predication  $\textbf{uso}_n(x_{10})$ .

<span id="page-22-1"></span>(1) その うそ は 子供 たち が sono uso-wa kodomo-tachi-ga tsui-ta that lie-TOP child-PL-NOM つい た attach-PAST The children told that lie.

<span id="page-22-2"></span>(2)  $\langle h_1, \{h_3: \text{kodomo}_n(x_4)\}\rangle$ 

<sup>3</sup><http://www.delph-in.net>

 $h_3$ : **tachi\_a** $(u_1, x_4)$  $h_5:$  **udef q**( $x_4, h_7, h_6$ )  $h_9$ : **uso\_n\_2** $(x_{10})$  $h_9$ : **wa\_d**(e<sub>3</sub>, e<sub>4</sub>, x<sub>10</sub>)  $h_{11}$ : **sono q**( $x_{10}$ ,  $h_{13}$ ,  $h_{12}$ )  $h_{11}$ : **tsuku\_v**( $e_2$ ,  $x_4$ ,  $x_{10}$ [tense : past]) },  $\{h_7 =_q h_3, h_{13} =_q h_9, \}$ 

MRS representations abstract away from the surface syntactic structure in several ways, even though it is far from being an interlingua. For example, in [\(2\)](#page-22-2) the noun phrase *sono uso* "that lie" is recognized as the object of the verb *tsuku* "attach", even though it comes before the subject, and is marked with a topic marker *wa* "topic", rather than the accusative marker. Also, in the noun phrase *kodomo-tachi*, the noun *kodomo* "child" is recognized as the semantic head of the phrase, and the suffix *-tachi* "plural, literally *others*" is treated as a modifier.

Given below are the English MRS [\(3\)](#page-23-0) and generation result [\(4\)](#page-23-1) from the semantic transfer of the Japanese MRS in [\(2\)](#page-22-2).

- <span id="page-23-0"></span>(3)  $\langle h_1, \{h_3: \text{child n\_1}(x_4| number : plural)\}\rangle$  $h_5$ : **def-udef-a q**( $x_4$ ,  $h_7$ ,  $h_6$ )  $h_9$ : **lie n 1**( $x_1$ 0)  $h_{11}$ : **that q**( $x_10, h_13, h_12$ )  $h_{11}$ : **tell\_v\_1**(e<sub>2</sub>, x<sub>4</sub>, x<sub>10</sub>[tense : past]) },  $\{h_7 =_a h_3, h_{13} =_a h_9, \}$
- <span id="page-23-1"></span>(4) (The) children told that lie.

Although the syntax is quite different, the MRS is similar. There are three main differences. The first, and most obvious, is that the predicate names are different. There are simple translation rules that transform, e.g.,  $\textbf{uso\_n\_2}(x_i)$  into  $\textbf{lie\_n\_1}(x_i)$ . A slightly more complicated rule is used to translate  $\textbf{tsuku}_{\textbf{v}}(e_i, x_j, x_k)$ . The rule is conditioned on its object. If the object of  $\textbf{tsuku}_{\cdot}(\mathbf{e}_i, x_j, x_k)$  matches  $\textbf{uso}_{\cdot}(\mathbf{n}(x_j),$ then rewrite it to  $\tt tell_v1(e_i, x_j, x_k)$ , otherwise rewrite it to  $\tt attend_v_to(e_i, x_j, x_k)$ .

The simple rules can be semi-automatically compiled from a bilingual dictionary, as described below, but adding context and ordering the rules correctly is currently done by hand. The order of predicates shown here is also different, but this is unimportant, the EPs are an unordered bag - all the information necessary to order the output text is given in the handles. This flat structure makes it easy to apply rules to MRSs - rules can apply anywhere in the structure, so long as they preserve the relations between handles and arguments.

More interestingly, in the English MRS the Japanese plural marker has disappeared, and instead the noun phrase it used to modify has been made plural. Finally, the underspecified quantifier **udef q** has been replaced by an underspecified quantifier that is a supertype of the English articles (*a*, *the* or no article): **def-udef-a q**. This leaves the choice of article to the English generator. The topic marker is ignored here (and deleted in transfer). Ideally it should be used to influence the choice of article and possibly trigger topicalization in English: *That lie the children told*.

The final English translations are shown in [\(5\)](#page-24-1). Because *child* is constrained to be plural, the only possible determiners are *the* or no article, so these two possibilities are generated.

- <span id="page-24-1"></span>(5) a. The children told that lie.
	- b. Children told that lie.

The LOGON semantic transfer architecture is a fairly standard transfer method. The main strength is that it is being applied at the semantic level, not at the syntactic level (such as systems that transfer trees or dependencies). This allows the source and target grammars do much of the work, allowing the transfer to be simpler.

Of course, this approach is far from solving the problems of machine translation. The problems of word sense disambiguation remain, as well as problems due to other differences in meaning representation in languages. For example, Japanese does not distinguish between singular and plural, or countable and uncountable, so most noun phrases are very underspecified. We currently approach these problems by producing multiple candidates and selecting using stochastic models, taking advantage of improvements in empirical methods, as described in Section [5.5.](#page-26-0)

#### <span id="page-24-0"></span>**5.2 Grammars**

For parsing and generation we use HPSG-based grammars of Japanese and English from the DELPH-IN project (JACY; [\(Siegel, 2000\)](#page-131-3) and the English Resource Grammar (ERG; [\(Flickinger, 2000\)](#page-126-1)). Both grammars were originally developed within the Verb*mobil* machine translation effort, but over the past years have been used for a variety of tasks, including automatic email response and extracting ontologies from machine readable dictionaries.

The grammars are being developed by separate groups of researchers, but share a commitment to the same semantic representation: MRS [\(Copestake et al., 2005\)](#page-126-2). This is a precise, but underspecified, language-specific semantic representation. MRS structures are flat, unordered collections of elementary predications (EPs) with handles (h) indicating scopal relations, events (e), and entities (x). MRS provides several features that make it attractive as a transfer language, such as uniform representation of pronouns, specifiers, temporal expressions, and the like over grammars. More details can be found in [Flickinger et al.](#page-126-3) [\(2005\)](#page-126-3).

#### <span id="page-25-0"></span>**5.3 Processing Engines**

For parsing we use PET an efficient parser for unification-based grammars [\(Callmeier,](#page-125-2) [2000\)](#page-125-2). For generation, and general grammar development we use the LKB [\(Copestake,](#page-126-4) [2002\)](#page-126-4). For transfer and overall system integration we use the LOGON architecture [\(Oepen et al., 2004a\)](#page-130-0) which is integrated into the LKB.

#### <span id="page-25-1"></span>**5.4 Transfer Formalism**

As illustrated in [\(Oepen et al., 2004a\)](#page-130-0), transfer rules take the form of MRS tuples:

```
[CONTEXT:]IN[!FILTER]->OUT
```
where IN(PUT) is rewritten by OUT(PUT), and the optional CONTEXT specifies relations that must be present for the rule to match, and conversely, FILTER specifies relations whose presence blocks a rule from matching. Consider the following transfer rule to translate うそ *uso* into *lie*:

(6) uso-lie-mtr =  $\langle h_1: \textbf{uso\_n\_2}(x_i) \rightarrow h_1: \textbf{lie\_n\_1}(x_i) \rangle$ 

This rule rewrites any instance of **uso n 2** with **lie n 1**.  $h_1$  and  $x_i$  indicate that the LBL and ARG0 arguments of the MRS produced must be preserved. While this may seem like a fairly easy to understand rule, we must repeat the constraint on LBL and ARG0 every time we write a rule to translate nouns. In order to avoid such redundancy in rule writing, LOGON allows the user to specify rule types that can encapsulate common patterns in rules. The above rule can be generalized to cover nouns:

(7) noun-mtr =  $\langle h_1: (x_i) \rightarrow h_1: (x_i) \rangle$ 

and our example rule can be rewritten as:

(8) uso-lie-mtr =  $\langle$  noun-mtr & **uso<sub>n2</sub>**  $\rightarrow$  **lie n** 1)

We were able to share many rule types with the LOGON Norwegian-English system. It contains a rich definition of rule types - many of which were immediately applicable to JaEn. JaEn inherits from LOGON rule types for open category lexical items such as common nouns, adjectives, and intransitive & transitive verbs. In addition, LOGON contains a number of rule types to specify rules for quantifiers, particles, and conjunctions, providing much of the framework needed to develop JaEn. As a practical matter, all the machine translation systems developed with the LOGON architecture now share the upper rule types to the extent that each system can load the same files.

#### <span id="page-26-0"></span>**5.5 Ranking Translations in JaEn**

JaEn uses a combination of five different stochastic models to rank its translations. The first is used when creating translation rules from dictionaries: rules are ordered according to the phrase table probabilities calculated by Moses [\(Koehn et al., 2007\)](#page-128-1). Then models are used in each phase of parsing, ranking and generation. A cut-off of five is placed at each step, so only the five top ranked analyses are passed on to transfer, which in turn will pass a maximum of twenty five MRSs to generation (five per branch). Finally the top five realizations in each branch are gathered together and the results are reranked using a global reranking model. The dictionary ranking was described in Section [7.3,](#page-35-0) the remaining ranking models are described here.

#### **Parse Ranking**

Parse ranking is done using a model trained on 7,000 treebanked sentences from the training set of the Tanaka Corpus [\(Bond et al., 2008\)](#page-125-3). The model is a discriminative log-linear model, which uses features from the parse derivations such as local subtree configurations, dominance relations and n-grams of lexical types [\(Fujita et al.,](#page-127-2) [2007\)](#page-127-2). The model is trained using the machinery developed in Redwoods [\(Oepen](#page-130-6) [et al., 2004b\)](#page-130-6).

#### **Transfer Ranking**

To rank the transfer output, we use the model built in the LOGON Norwegian-English machine translation system [\(Oepen et al., 2007\)](#page-130-5). It ranks the semantic representations using elementary semantic dependencies derived from the MRSes. Because we did not have an English treebank for the Tanaka Corpus, we used the LOGON model, which was trained on the Norwegian hiking corpus.

#### **Realization Ranking**

To rank the generator results, we again used the model built by in the LOGON project [\(Oepen et al., 2007\)](#page-130-5). It uses a combination of word n-grams from the British National Corpus [\(Burnard, 2000\)](#page-125-4) and a syntactic model trained on an English treebank [\(Velldal](#page-132-4) [and Oepen, 2006\)](#page-132-4).

We found that the model did not deal very well with choices such as *the dog barks* vs *dogs bark*. It almost always preferred the shorter string, even though *dog* is roughly twice as frequent as *dogs* in the British National Corpus. A solution to this is to train a model where the input is underspecified  $(\text{def-udef-a.q}(x) \text{ dog.n.1}(x|number :$ number])) and the target string is *the dog*. This would allow us to learn, for example, that in this context  $\text{article}_q$  should go to the  $(\text{def}_q)$  and  $\text{dog}_q n_1(x[number:q]$  $number$ ]) to *dog* (dog **n**  $\textbf{1}(x[number : singular])$ ). In a generation corpus based on parsing English sentences, the semantic representation will never be underspecified in this way, so we cannot learn such a model. Instead, we have to take the corpus and rewrite the semantic representation to be more general and then learn from this.

#### **Translation Re-ranking**

Once again, we use the generator from the LOGON project [\(Oepen et al., 2007\)](#page-130-5) to rerank the final translation results. After testing various combinations, JaEn ended up using a combination of the parsing model (weight  $(0.2)$ , transfer model (weight  $(0.2)$ ),

	Frequency Semantic relation Translation	
25,927 nip		$\zeta \to \text{in}$ , to, into
	$25,056$ cop_id	だ,です $\rightarrow$ to be
22,976	$\text{no-p}$	$X \mathcal{D} Y \rightarrow X Y, X's Y, Y \text{ of } X$
10,375 _de_p		$\mathcal{C} \rightarrow$ in, on, at, with
	9,696 rareru	られる $\rightarrow$ passive
	$9,528$ neg <sub>-V</sub>	$\uparrow \downarrow \vee$ $\rightarrow$ negation
	8,848 _exist_v	ある $\rightarrow$ to be, to have
	7,627 kono <sub>-</sub> q	この $\rightarrow$ this
4,173 tai		たい → to want to
3.588	hour n	時 → time, hour

<span id="page-28-2"></span>Table 2.1. Highest frequency source language relations and their translations

generation model (weight 0:1) and a simple n-gram based language model based again on the BNC (weight 0:5). Unlike [Velldal and Oepen](#page-132-4) [\(2006\)](#page-132-4), we got no improvement using either a distortion model or lexical translation probabilities, although we only investigated a limited grid of weight combinations.

## <span id="page-28-0"></span>**6 Hand-crafted Transfer Rules and Rule Types**

#### <span id="page-28-1"></span>**6.1 Closed Category Transfer Rules**

In order to decide which semantic relations to write transfer rules for by hand, we used the automatically acquired translation rules in the above section and attempted to translate sentences from the BTEC corpus. Whenever a relation failed to transfer, the system would be unable to generate a translation, and an error message was produced. We counted the relations and identified the most frequently occurring closed class relations as candidates for handcrafting a transfer rule. There are currently a total of 195 handcrafted rules in our system. Table [2.1](#page-28-2) lists the translations we hand-crafted for the ten most frequently occurring semantic relations.

In handcrafting transfer rules for our system, we also encountered several linguistic problems that needed to be solved in order to achieve high-quality translation results, the most interesting of which was pronoun generation in English. Since our Japanese

semantic analyses indicate when arguments of a predicate have been omitted, we came up with a small set of rules that checks what restrictions, if any, are placed on the omitted arguments, and we replace them with underspecified English pronouns, since the nature of the omitted argument is unknown. This leads to over-generation of pronouns, which can cause a combinatorial explosion in the number of translations for sentences with multiple ellipsed pronouns. To avoid this problem, we only allow pronouns to be inserted for the first two argument slots (roughly corresponding to *subject* and *object*).

Other advances made include the treatment of common modal verbs, and natural generation of determiners for negative clauses. A more detailed description of handcrafted rules and phenomena covered is given in Appendix C.

#### <span id="page-29-0"></span>**6.2 Rule Types Unique to JaEn**

Here, we briefly describe a few rule types that were developed to handle linguistic phenomena unique to Japanese $\rightarrow$ English translation.

In JaEn, Japanese verbal nouns are analyzed as events, and they produce messages accordingly. When it is being used as a noun, **kenkyuu s** is wrapped with the relation noun-relation. We handle these constructions with a special rule that nominalizes the verbal noun by removing its event and the associated message and replacing them with an entity when it appears as a noun:

```
vn-n_jf := monotonic_mtr \&[ CONTEXT.RELS < [ PRED "ja:udef", ARG0 \#x0 ] >,
  INPUT [ RELS < [ PRED "ja:noun-relation",
                   LBL #h6, ARG0 #x0, ARG1 #hp ],
                 [ PRED "ja:proposition_m",
                   LBL #hp, ARG0 #ep, MARG #h5 ],
                 [ PRED #pred, LBL #h0, ARG0 #ep ] >,
          HCONS < qeq \& [HARG #h5, LARG #h0 ] > ],
  OUTPUT [ RELS < [ PRED #pred, LBL #h6, ARG0 #x0 ] >,
           HCONS < > ] ].
```
In short, this rule type removes the noun-relation and all semantic relations resulting in the verbal noun's analysis as an event. This change makes it possible to treat verbal nouns identically to regular nouns in the rest of the transfer rules, eliminating

the need to create multi-word transfer rules that have to distinguish between nouns and verbal nouns. This simplifies rule development significantly. Thus, a rule to translate *kenkyuu* as the noun "research" can now be created using the standard noun template:

```
kenkyuu_s-research_n-omtr := noun_mtr &
[ IN.RELS <[ PRED "_kenkyuu_s" ] >,
  OUT.RELS <[ PRED "_research_n_1" ] > ].
```
### <span id="page-30-0"></span>**6.3 Handling Translation Phenomena in JaEn**

JaEn's primary source of transfer knowledge are transfer rules that are acquired from JMdict or learned directly from the Tanaka Corpus, however, there are a small number of words and phrases that have a great impact translation quality, but are not found in dictionaries. We think of these items as closed-class in nature and consider it more efficient to hand-craft transfer rules for them rather than attempting to automatically acquire them. In this section, we describe the rules we have written to handle some of the translation phenomena that makes Japanese $\rightarrow$ English MT such a difficult task.

#### <span id="page-30-1"></span>**6.4 Multi-Word Expressions**

Multi-word expressions (MWEs) are an area of challenge for all machine translation systems. Many MWEs are lexical or collocational in nature, however some require structural knowledge to translate correctly. Consider the following example:

(9) 買い物 に kaimono-ni shopping-LOC go-NEG-COND become-NEG . 行か な けれ ば なら ない ika-na-kere-ba nara-nai  $\circ$ . I have to go shopping. (Reference) If you go shopping. (Moses) It must go on a shopping. (JaEn)

The Japanese string *ikanakereba naranai* needs to be translated as *must*, but it is composed of two negated verbs connected in a coordinate structure by the particle *kereba*. We can write a transfer rule to accommodate this as so:

(10)

$$
h_1: \textbf{event}(e_1) \rightarrow h_1: \textbf{event}(e_1)
$$
\n
$$
\text{must} - \text{mtr} = \left\langle \begin{array}{l} h_2: \textbf{eba} \cdot \textbf{c}(e_2, h_3, e_1, h_4, e_3) \\ h_3: \textbf{neg}(h_1) \\ h_4: \textbf{neg}(h_5) \\ h_5: \textbf{naru} \cdot \textbf{v}(e_3) \end{array} \right\rangle
$$

This rule links the left-hand side argument of the verbal conjunction **eba c** to **must v** and discards both of the verbal negations and **naru v**. Without this rule, JaEn would literally translate this sentence as "It does not become if it does not go on a shopping." Although JaEn gets the pronoun wrong in its translation, it preserves the essential structure of this sentence better than Moses, which also cannot translate the pronoun correctly.

We use rules of a similar formation to handle variations of this pattern such as [*nakereba*/*naito*/*nakute*]*ikenai*. Other structural MWEs handled include *no-tame*  $\rightarrow$ "because of," *koto-ga-dekiru*  $\rightarrow$  "can," and [*koto*/*no*]*ga-suki*  $\rightarrow$  "like to."

#### <span id="page-31-0"></span>**6.5 Generalizing Over Structure**

MRS-based transfer makes it easy to generalize over structures. This eases translation from Japanese into English in several ways.

Example [\(11\)](#page-31-1) shows how questions can be difficult for SMT systems to translate due to their unusual word order. This sentence is not a problem for JaEn: both arguments of 作る *tsukuru* "make" are embedded in its arguments structure and transferred to the English grammar intact.

This sentence also gives an example of a politeness marker in Japanese: *mashita*. The Japanese parser converts the polite *tsukurimashita* to its standard form, *tsukuru*, allowing general translation rules to work without change.

<span id="page-31-1"></span>(11) (あなた は) 何 を (anata-ha) nani-wo tsukuri-mashi-ta you-TPC what-ACC make-POLITE-PAST QUES . 作りました か ka  $\overline{a}$ . What did you make? (Reference)

What made you? (Moses) What did you make? (JaEn)

This sentence also demonstrates how JaEn handles zero pronouns. Since our Japan-

ese semantic analyses indicate when arguments of a predicate have been omitted, we came up with a small set of rules that checks what restrictions, if any, are placed on the omitted arguments, and we replace them with underspecified English pronouns, since the nature of the omitted argument is unknown. This leads to over-generation of pronouns, which can cause a combinatorial explosion in the number of translations for sentences with multiple ellipsed pronouns. To avoid this problem, we only allow pronouns to optionally be inserted for the first two argument slots (roughly corresponding to *subject* and *object*).

Another example of generalization is given in [\(12\)](#page-32-1), where the passivization of the Japanese sentence is represented as a feature on the verb, which is preserved in the semantic transfer stage. This allows English generation to produce both active and passive voice variants, which it considers semantically equivalent.

<span id="page-32-1"></span>(12) 犯人 は hannin ha criminal-TOP police-DAT arrest PASV-PAST . 警察 に keisatsu-ni taiho sare-ta 逮捕 され た  $\sim$ . The criminal was arrested by the police. (Reference) The criminal was arrested by the police. (Moses) Police arrested the criminal. (JaEn)

## <span id="page-32-0"></span>**7 Automatic Rule Acquisition**

In this section, we present the results of automatic transfer rule acquisition from the Japanese-English bilingual dictionary, JMdict, and directly from Moses' phrase table.

#### <span id="page-33-0"></span>**7.1 Parallel Corpora**

#### **The BTEC Corpus**

As development and testing data, we are currently using the ATR Basic Travel Expression (BTEC) Corpus as made available in the IWSLT 2006 evaluation campaign [\(Paul, 2006\)](#page-130-1). As is indicated by its name, the BTEC corpus consists of short spoken sentences taken from the travel domain. We selected it because it is a commonly used development set, making our results immediately comparable to a number of different systems, and because the Japanese HPSG parser and grammar we use can successfully analyze approximately 65% of its sentences, providing us with a good base for development. The BTEC data supplied in the IWSLT 2006 evaluation campaign consists of almost 40,000 aligned sentence pairs. Sentences average 10.0 words in length for Japanese and 9.2 words in length for English. There are 11,407 unique Japanese tokens and 7,225 unique English tokens.

#### **The Tanaka Corpus**

The Tanaka Corpus is an open corpus of Japanese-English sentence pairs compiled by Professor Yasuhito Tanaka at Hyogo University and his students [\(Tanaka, 2001\)](#page-132-3) that was released into the public domain.

Professor Tanaka's students were given the task of collecting 300 sentence pairs each. After several years, 212,000 sentence pairs had been collected. The sentences were created by the students, often derived from textbooks, e.g. books used by Japanese students of English. Some are lines of songs, others are from popular books and Biblical passages. The original collection contained large numbers of errors, both in the Japanese and English. These are being corrected by volunteers, as part of ongoing activity to provide example sentences for the Japanese-English dictionary JMdict [\(Breen,](#page-125-5) [2003\)](#page-125-5). Recently, translations in other languages, most notably French, have been added by the TATOEBA project.<sup>[4](#page-0-0)</sup> We give a typical example sentence in  $(13)$ .

<span id="page-33-1"></span>(13) あの 木 の 枝 に ano ki no eda-ni that tree's branch-LOC several bird-ACC are staying 数羽 の 鳥 が とまっ て いる 。 suuwa no tori-ga tomat-te iru . . Some birds are sitting on the branch of that tree. (en)

<sup>4</sup><http://wwwcyg.utc.fr/tatoeba/>

Des oiseaux se reposent sur la branche de cet arbre. (fr)

The version (2008-11) we use has 147,190 sentence pairs. We hold out 4,500 sentence pairs each for development and evaluation data.

#### <span id="page-34-0"></span>**7.2 Converting Translation Pairs into Transfer Rules**

Nygård et al. [\(2006\)](#page-129-0) demonstrated that it is possible to learn transfer rules for some open category lexical items using a bilingual Norwegian $\rightarrow$ English dictionary. They succeeded in acquiring over 6,000 rules for adjectives, nouns, and various combinations thereof. Their method entailed looking up the semantic relations corresponding to words in a translation pair, and matching the results using simple pattern matching to identify compatible rule types.

Our approach generalizes this approach by using rule templates to generate transfer rules from input source and target MRS structures. Template mappings are used to identify translation pairs where there is a compatible rule type that can be used to create a transfer rule. A template mapping is a tuple consisting of:

- a list of HPSG syntactic categories corresponding to the words in the source translation
- a list of HPSG syntactic categories for the target translation words; and
- the name of the rule template that can be used to construct a transfer rule

Consider the following template mapping:

```
<[noun], [adjective, noun], n-adj+n)>
```
This template mapping above identifies a template that creates a rule to translate a Japanese noun into an English adjective-noun sequence, e.g.,  $mtr = \langle \mathcal{B} \mathcal{F} \mathcal{F} \mathcal{A} \mathcal{F} \$  $dama \rightarrow bad$  character).

Transfer rule generation is carried out in the following manner:

- 1. Look up each word from source-language translation in HPSG lexicon
	- Retrieve syntactic categories and MRS relations
- Enumerate every possible combination for words with multiple entries
- Refactor results into separate lists of syntactic categories and MRS relations
- 2. Repeat 1. for all words in target-language translation
- 3. Map template mappings onto source and target syntactic categories
	- Translations that match indicate the existence of compatible rule template
- 4. Create a transfer rule by combining the rule template and lists of source and target MRS relations

Using this algorithm we can extract rules from any list of translation pairs. After acquiring transfer rules, we sort them by frequency in the Tanaka Corpus, keeping a maximum of three rules for each input phrase. We create a only one rule for out-ofvocabulary entries, using the first listed dictionary translation to match. Transfer rules are ordered so that transfer words that cover multiple source words are applied first.

#### <span id="page-35-0"></span>**7.3 Dictionary-based Rule Acquisition**

Our primary source of rules is JMdict. We split compound JMdict entries into individual translation pairs and pass the translation pairs to the algorithm described in Section [7.2.](#page-34-0) The results of open category transfer rule acquisition from JMdict are summarized in Table [2.2.](#page-36-1) This is our main source of transfer rules, with 39,120 acquired.

#### <span id="page-35-1"></span>**7.4 Acquisition from Moses Phrase Tables**

We also acquire translation rules from Moses' phrase table. Moses' phrase table consists of a Japanese chunk, an English chunk and five weights: phrase translation probability  $\phi(j|e)$ , lexical weighting  $lex(f|e)$ , phrase translation probability  $\phi(e|j)$ , lexical weighting  $lex(e|f)$  and a phrase penalty (always  $exp(1) = 2.718$ ). Examples phrase table entries for うそ *uso* "lie" are given in Figure [2.2.](#page-37-0) We sum all five of the weights and probabilities given for each entry in the phrase table and keep any entries with  $total \geq 4.0$ .

We acquired a total of 4,209 entries from Moses' phrase tables. We found that there were problems for us in that non-constituents were often learned. For example, noun
Rule type	JMdict	Examples
$Verb \rightarrow Adj$	272	あり得る $\rightarrow$ likely
$Adj \rightarrow Verb$		276 不安 $\rightarrow$ to worry
$Adj+Noun \rightarrow Adj+Noun$	730	渋いワイン $\rightarrow$ white wine
Proper Noun	833	シンガポール → Singapore
Adverb	1,543	大変 $\rightarrow$ very
<b>Intransitive Verb</b>	1,991	現れる $\rightarrow$ to appear
Noun $\rightarrow$ Adj	2,470	最低限 $\rightarrow$ minimum
Adjective	3,040	青い $\rightarrow$ green
Noun→Adj+Noun	3,684	悪玉 → bad character
$Noun \rightarrow Noun + Noun$	4,278	甘党 → sweet tooth
$Noun+Noun \rightarrow Noun$	4,378	アイデア商品 → novelty good
<b>Transitive Verb</b>	5,715	選ぶ → to choose
Noun+Noun $\rightarrow$ Adj+Noun	5,791	暗黒物質 $\rightarrow$ dark matter
$Noun+Noun \rightarrow Noun+Noun$	8,053	原価管理 → cost management
Noun		19,270 字 $\rightarrow$ character
Total	62,324	

Table 2.2. Semantic transfer rules acquired from JMdict

entries would be surrounded by prepositions or verbs, creating invalid entries such as *okane*  $\rightarrow$  "money out" or *okane*  $\rightarrow$  "turn money."

A hand evaluation showed that 274, approximately 7%, of these entries were bad alignments. We removed or edited these entries into correct rules, leaving a total of 3,935 entries. These two extensions make it possible to produce transfer rules only for those entries which are true translations.

## <span id="page-36-0"></span>**7.5 Bootstrapping from Partial Transfers**

In order to acquire transfer rules from a parallel corpus, we compare partial transfer results to the parses of target language sentences. Partial transfer results occur when a Japanese sentence cannot be completely translated into English by JaEn. The resulting MRS structure contains both English and Japanese relations. By using the English relations found in both the partial transfer MRS and the target sentence MRS, it is

うそ     a lie     (0)     (0) ()     0.015873 0.0022841 0.0
a white $    (0)     (0) (1)     0.0322581 0.0022841 $ うそ
うそ     a     (0)     (0)     0.000179738 0.0022841 0.1 0.3
うそ     absolute lie     (0,1)     (0) (0)     0.5 0.086754
うそ     be false     (1)     () (0)     0.111111 0.0388889
うそ     been lying     (1)     () (0)     0.0357143 0.04240
うそ     false story     (0,1)     (0) (0)     0.5 0.0203773
うそ     false     (0)     (0)     0.0487805 0.0388889 0.067
うそ     had been lying     (2)     () () (0)     0.0357143
うそ     lie     (0)     (0)     0.0229885 0.154989 0.066667
lied $   $ (0) $   $ (0) $   $ 0.0357143 0.139535 0.03333 うそ
lies $   $ (0) $   $ (0) $   $ 0.04 0.075 0.0666667 0.063 うそ
lying $   $ (0) $   $ (0) $   $ 0.0523256 0.0424028 0.3 0 うそ
うそ     tell lies     (0,1)     (0) (0)     0.2 0.0428106 0
うそ     was lying     (1)     () (0)     0.0416667 0.042403

Figure 2.2. Moses phrase table entries for *uso*. Entries in **bold** were converted into transfer rules.

possible to acquire additional alignments from a corpus that can be converted into transfer rules. The acquisition algorithm is as follows:

- 1. Parse source
- 2. Partial transfer
- 3. Parse reference
- 4. Eliminate shared MRS relations
- 5. Create rules from remaining relations

Consider this pair of sentences from the BTEC Corpus:

(14) エアコン の eakon no air conditioner-GEN switch-TOP where スイッチ は どこ かしら 。 suicchi-ha doko kashira . ? Where's the switch for the air conditioner?



<span id="page-38-0"></span>Table 2.3. Results of transfer rule acquisition from the BTEC Corpus

Sentence (14) has the following simplified parse:

```
{ PLACE, PROPOSITION_M, UDEF, WHQ, cop_id, _eakon_n_1,
noun-relation, _suicchi_s_1 }
```
After partial transfer, **eakon n 1** has been flagged as an unknown Japanese relation, and **suicchi s 1** has been replaced with the English relation **switch n 1**:

```
{ DEF_Q, PLACE_N, PRPSTN_M, UDEF_Q, UNSPEC_LOC, WHICH_Q,
ja:_eakon_n_1, _switch_n_1 }
```
Sentence (1b)'s parse has much in common with that of (1a):

{ \_FOR\_P, INT\_M, PLACE\_N, PRPSTN\_M,\_THE\_Q, UNSPEC\_LOC, WHICH\_Q, \_air+conditioner\_n\_1, \_switch\_n\_1 }

When shared relations, or relations of the same type are eliminated, what remains is:

**ja: eakon n 1** ! **air+conditioner n 1**

This can be turned into a Noun rule using the templates introduced in the previous section.

Using this algorithm we have acquired over 170 rules from the IWSLT 2006 corpus. A summary of the rules acquired and their types is given in Table [2.3.](#page-38-0) Many of these rules represent gaps in JMdict knowledge, however, we are also acquiring some rules for alignments that are incompatible with our current rule types. Examples of

End-to-end		$7,105$ / $42,699$ 16.64%	
Generation	$7,105$ /		9,903 71.75%
Transfer		9,903 / 28,257 33.05%	
Parsing		28,257 / 42,699 66.18%	

<span id="page-39-0"></span>Table 2.4. Coverage for JaEn on the BTEC Corpus using all rules

Rules used	<b>Translations</b>	Sentences Coverage	
Hand-crafted	215	16,479	1.30%
+Dictionary	2,006	16,479	12.17%
$+$ Bootstrapping	2.727	16,479	16.55%

<span id="page-39-1"></span>Table 2.5. Coverage for JaEn on a portion of the BTEC Corpus comparing rule sources

this include *hontou*  $\rightarrow$  "truly", *wakatta*  $\rightarrow$  "okay", and rules spanning multiple semantic relations like *tsunagaranai*  $\rightarrow$  "disconnected." Because these rules directly target areas where JaEn's coverage is lacking they are already having a significant impact on translation coverage and quality.

# **8 JaEn Evaluation**

In this section, we evaluate JaEn by analyzing its coverage over the BTEC and Tanaka Corpora and conducting automatic and human evaluation comparing it to a baseline Moses phrasal SMT system.

### **8.1 BTEC Corpus Evaluation**

We tracked JaEn's coverage on the BTEC Corpus data used as the training set in the IWSLT 2006 evaluation campaign using the rules we acquired and handcrafted  $(\S 6.1)$  $(\S 6.1)$ . Coverage results are summarized in Tables [2.4](#page-39-0) and [2.5.](#page-39-1) We split all translation pairs into individual sentences by tokenizing on sentence ending punctuation such as "." and "?" yielding a slightly different number of translation sentences than reported in IWSLT 2006's data.

Data	Parsing	Transfer	Generation	End-to-End
dev	$\frac{3,599}{4,500}$ (79.98%)	$\frac{1,711}{3,599}$ (47.54%)	$\frac{937}{1711}$ (54.76%)	$\frac{937}{4.500}$ (20.82%)
test	$\frac{3,500}{4,499}$ (77.80%)	$\frac{1,658}{3,500}$ (47.37%)	$\frac{871}{1,658}$ (52.53%)	$\frac{871}{4.499}$ (19.36%)

<span id="page-40-0"></span>Table 2.6. Coverage of JaEn on the Tanaka Corpus

Currently, we have increased our system's coverage over ten-fold from a starting point of 1.3% up to over 16.5%. We see that the transfer rules automatically acquired from JMdict make the greatest contribution to coverage, over 11%, but the rules acquired by bootstrapping  $(\S7.5)$  $(\S7.5)$  also make a meaningful contribution. With our current system, we are able to translate a large number of sentences with interesting linguistic phenomena. Our system's bottleneck is still the transfer stage which succeeds approximately one-third of the time in comparison to the over two-thirds success rate of parsing and over 71% of generation.

We report a BLEU score of 0.22 for the sentences we translate over the entire 44,000 sentence pair training set using a 4-gram cumulative BLEU score with one reference translation.

#### **8.2 Tanaka Corpus Evaluation**

We have been developing JaEn targeting the Tanaka Corpus for approximately one year, and in that time we have increased our end-to-end coverage on the development data from 8.5% to over 20% while maintaining a consistent level of translation quality. Full statistics for parsing, transfer, and generation coverage are given in Table [2.6.](#page-40-0)

We can currently parse approximately 80% of the entries in the Tanaka corpus' development and test sets. Our transfer coverage is over 47.5%, and that of generation is greater than 52%.

In comparison to statistical machine translation systems, this level of coverage is low. Nevertheless, we have constructed a firm foundation for future research, with limited resources<sup>[5](#page-0-0)</sup>.

<sup>&</sup>lt;sup>5</sup>JaEnwas developed with approximately three person years of development time.

#### **8.3 Moses: an SMT Baseline**

We evaluate JaEn by comparing its translation coverage and quality to an SMT system baseline. For the baseline we use Moses [\(Koehn et al., 2007\)](#page-128-0), an open source statistical machine translation system that is the result of collaboration at the 2006 John Hopkins University Workshop on Machine Translation. The main component is a beam-search decoder, but it also includes a suite of scripts that, when used together with GIZA++ and SRILM [\(Stolcke, 2002\)](#page-131-0), make it possible to learn factored phrase-based translation models and carry out end-to-end translation.

We followed the instructions for creating a basic phrase-based factorless system on the Moses homepage<sup>[6](#page-0-0)</sup>. In order to construct a state-of-the-art SMT fallback, we replicate the system used in the ACL 2007 Second Workshop on Statistical Machine Translation: a factorless Moses system with a 5-gram language model. We use external morphological analyzers to tokenize our data instead of using the provided scripts. We use the Tree Tagger [\(Schmid, 1994\)](#page-131-1) for English and MeCab [\(Kudo et al., 2004\)](#page-128-1) for Japanese. Part-of-speech information was discarded after tokenization.

In addition to using Moses as a fallback and a point of comparison, we use the phrase table it produces when we build our lexicon  $(\S7.4)$  $(\S7.4)$ .

#### **8.4 Automatic Evaluation of JaEn and Moses**

We compared JaEn and Moses using several automatic evaluation methods: BLEU, NEVA, NIST, and METEOR. BLEU scores were calculated using the multi-bleu. perl implementation distributed with Moses.

NEVA [\(Forsbom, 2003\)](#page-126-0) is an alternative to BLEU that is designed to provide a more meaningful sentence-level score for short references. It is calculated identically to BLEU, but leaving out the log and exponent calculations. Both the NEVA and NIST scores were produced using LOGON implementations.

METEOR [\(Banerjee and Lavie, 2005\)](#page-124-0) is an advanced MT evaluation metric that uses stemming and WordNet synonym matching to relax the matching constraints for English n-gram matches to achieve higher levels of correlation to human judgement than possible with simpler metrics like BLEU and NIST. We calculated all METEOR scores with WordNet stemming and synonym matching enabled.

<sup>6</sup><http://www.statmt.org/wmt07/baseline.html>

Evaluation System BLEU NEVA NIST METEOR					
$uc + detok$	<b>Moses</b>	23.85	34.61	6.10	57.99
$uc + detok$	JaEn	7.35	18.26 3.89		45.43
$l$ c+tok	<b>Moses</b>	30.56	34.82	6.19	58.22
$l$ c+tok	JaEn	11.24	18.36	3.93	45.57

**uc+detok**: cased, detokenized **lc+tok**: uncased, tokenized

<span id="page-42-0"></span>Table 2.7. Automatic evaluation of JaEn and Moses

The automatic evaluation results are given in Table [2.7.](#page-42-0) We conducted evaluation of standard, written English with capitalization and punctuation (**uc+tok**), as well as caseless English with punctuation tokenized (**lc+detok**). As expected, **lc+detok** has higher overall scores.

Currently, JaEn is outperformed by Moses in every metric we measured. We theorize that there are several reasons for this. JaEn draws the majority of its lexical translation knowledge from a bilingual dictionary. This often results in translations, that while semantically accurate, are not found in the corpus used for evaluation. Since the SMT system is trained on data from the same corpus used for evaluation, it is much less likely to produce translations not found in the evaluation corpus. This effect is magnified by the fact that the evaluation corpus only has one reference translation for each sentence, decreasing the likelihood that alternative lexical choices will be highly scored. The comparatively smaller gap in METEOR scores for JaEn and Moses supports this theory.

In addition to the domain problem, JaEn also suffers from poor ranking models: the lexical probabilities and generation models being used were trained on a Norwegian-English corpus. While we have been able to improve ranking somewhat by manually tweaking the weights of the various components as described in Section [5.5,](#page-26-0) developing models for the Tanaka Corpus and empirically setting their weights remains an important area of future work.

	<b>Moses</b>			<b>JaEn</b>		
Evaluators	Fluency	Adequacy	Best	Fluency	Adequacy	<b>Best</b>
Human 1	2.59	2.37	60.0	1.99	1.94	40.0
Human 2	3.14	3.26	69.0	2.87	2.83	31.0
Average	2.87	2.82	64.5	2.43	2.39	35.5
Variance	$\pm 0.28$	$\pm 0.45$	$\pm 4.5$	$\pm 0.44$	$\pm 0.45$	$+4.5$

<span id="page-43-0"></span>Table 2.8. Human evaluation of Moses and JaEn

#### **8.5 Human Evaluation of JaEn and Moses**

We conducted a small-scale human evaluation of the quality of JaEnand Moses. 100 sentences were randomly selected from the cross-section of the Tanaka Corpus test data that both JaEn and Moses could translate.

Two evaluators were shown the Japanese source sentence, its accompanying English reference, and the output from the two systems labeled *System A* and *System B*. The labels were randomly selected for each sentence; neither the developers nor the evaluators knew which system produced a given output until the evaluation was concluded.

The human evaluators assigned a score of 0 (bad) - 4 (good) for the fluency and adequacy of each system's translation output. This evaluation procedure is described in more detail in the IWSLT 2006 overview [\(Paul, 2006\)](#page-130-0). The evaluators also selected a *best* system for each translation.

The results of the human evaluation are summarized in Table [2.8.](#page-43-0) While JaEn was ranked lower than Moses in fluency and adequacy, the difference was often less than the variance in inter-annotator agreement.

## **9 Discussion**

<span id="page-43-1"></span>While our currently level of coverage with JaEn makes a quantitative comparison with Moses uninformative, we give a qualitative comparison of the two systems in [\(15](#page-43-1)[–19\)](#page-45-0). This small selection of sample translations illustrates the strengths and weaknesses of each of the systems.

- (15) その 歌 を sono uta-wo kii-te that song-ACC heard 聴いて私は watashi-ha kodomo no koro-wo omoi-dashi-ta . I-TOP 子供 の ころ を child time-ACC 思い 出した。 remembered. The song called up my childhood. (Reference) I heard the song when I was a child. (Moses) It heard that song, and I remembered the child time. (JaEn)
- <span id="page-44-1"></span>(16) 私 は watashi-ha iyaiya I-TOP いやいや unwillingly that work-ACC did その 仕事 を sono shigoto-wo shi-ta . した。 . I did the work against my will. (Reference) I did the work against his will. (Moses) I did that work unwillingly. (JaEn)
- <span id="page-44-0"></span>(17) リスト に 彼女 の 名前 が risuto-ni kanojo no namae-ga na-kat-ta list-DAT her name-ACC な かっ た is-NEG-PAST . 。 . His name didn't appear on the list. (Reference)

Her name on the list. (Moses)

There was not any name of hers on the list. (JaEn)

Translations [\(15–](#page-43-1)[17\)](#page-44-0) are typical examples where JaEn does better than Moses. In [\(15\)](#page-43-1) and [\(16\)](#page-44-1) Moses produces fluent idiomatic output, but the meaning is not preserved. In [\(15\)](#page-43-1), the song reminds the listener of the childhood, it was not necessarily heard when they were a child. In [\(16\)](#page-44-1) the meaning is made quite different - it was the speaker who didn't want to do the work, not some third person. JaEn avoids using pronouns when it can't get the referent correct. An alternative would have been to coindex the pronoun with the subject (and thus produce *X did X against X's will*). [\(17\)](#page-44-0) given an example of an error in the reference translation. The Japanese source sentence contains the female possessive pronoun instead of the male given in the English reference sentence. Both JaEn's and Moses correctly produce *her* in their translations, however, Moses loses the sentence's negation. JaEn's translation could be made more fluent, but it at least preserves the meaning.

<span id="page-44-2"></span>(18) 来年 、 rainen, next year, I-TOP 私は watashi-ha gaikoku-ni 外国に foreign country-DAT study-abroad want-to . 留学 し たい ryuugaku shi-tai  $\overline{a}$ . I want to study abroad next year. (Reference) Next year, I want to study abroad. (Moses) I want to study next year in a foreign country. (JaEn)

<span id="page-45-0"></span>(19) うまく 言葉 が umaku kotoba-ga de-te ko-nai well words-NOM leave come-NEG . 出てこない  $\overline{a}$ . Words fail me. (Reference) Words well. (Moses) Words have been aptly not leaving. (JaEn)

Translations [\(18\)](#page-44-2) and [\(19\)](#page-45-0) show typical examples where JaEn does not do so well. In [\(18\)](#page-44-2) the meaning is clear, but the best translation (gakoku-ni--abroad =  $\langle A \rangle \boxplus$  $\{ \zeta \}$  *gaikoku ni* "in overseas"  $\rightarrow$  *abroad* $\}$ ) was not acquired from any of the lexicons or corpora we used. In fact, this sentence contains redundant information: 留学 *ryuugaku* "study abroad" contains the meaning *abroad* on its own, so we really need a rule that will take 外国に留学する *gaikoku-ni ryuugaku suru* and produce *study abroad* as Moses does.

Finally [\(19\)](#page-45-0) shows an example where JaEn's lexical choice fails. 出てくる *detekuru* on its own can mean "leave", but combined with 言葉 *kotoba* "word", it means "utter/speak", and is more common in the negative. To acquire patterns like this, we need to learn more complex rules from the corpus.

We feel that the strengths and weaknesses of these two translation systems complement each other; JaEn does a better job at preserving the structure of sentence, where Moses is more capable at picking up idiomatic, non-compositional translations.

JaEn as it currently stands is an interesting research system, but not yet a useful production system. However, we feel it has the potential to improve upon the translation quality of a phrase-based system, at least for those sentences it can translate. We therefore feel future work should focus on improving quality, rather than coverage we can always fall back to the robust SMT system. This means an emphasis on not just acquiring knowledge, but also making sure that new and existing rules produce only improvements. One way to do this would be to incorporate feedback cleaning [\(Imamura et al., 2003\)](#page-127-0).

JaEn is being successfully used in teaching machine translation - the system allows all the intermediate steps to be seen, and also gives a platform for students to experiment with. JaEn builds on a great deal of previous work, using the results of the DELPH-IN group, JMdict, Moses. This allowed an interesting system to be built with only around three person year's of work.

## **10 Future Work**

In addition to continuing to improve the quality of the system by expanding the inventory of rules, and providing feedback to the component grammars, learning rules directly from examples is an area of future work. One potential strategy is to parse both the source and target language sentences, then transfer the source and attempt to align the translation with the parse of the reference translation. Aligned MRS structures would then be learned as rules.

A similar approach has been taken by [\(Jellinghaus, 2007\)](#page-127-1). The main differences are that they only align very similar sentences; always start the alignment from the root (the handle of the MRS); and directly align the source and target MRSes.

Another area of future work is translation ranking. Our current method relies on JaEn's statistical models to select the best translation, however, our current models often produce unsatisfiable results. We plan to explore methods of directly applying Moses' statistical models to rank system output regardless of its origin, or more general reranking methods.

# **Chapter 3**

# **Paraphrasing for Statistical Machine Translation**

# **1 Introduction**

Large amounts of training data are essential for training statistical machine translation systems. In this chapter we showed how training data can be expanded by paraphrasing one side of a parallel corpus. The new data is made by parsing then generating using an open-source, precise HPSG-based grammar. This gives sentences with the same meaning, but with minor variations in lexical choice and word order. In experiments paraphrasing the English in the Tanaka Corpus, a freely-available Japanese-English parallel corpus, we showed consistent, statistically-significant gains on training data sets ranging from 10,000 to 147,000 sentence pairs in size as evaluated by the BLEU and METEOR automatic evaluation metrics.

Data-driven machine translation systems such as EBMT and SMT learn how to translate by analyzing aligned bilingual corpora. In general, the more data available the higher the quality of the translation. Unfortunately, there are limits to how much bilingual data exists. In this chapter, we propose a method for increasing the amount of parallel text available for training by using a precise, wide-coverage grammar to paraphrase the text in one language.

The novelty in this work is that we are using a hand-crafted grammar to produce the paraphrases, thus adding a completely new source of knowledge to this system. The paraphrases are both meaning-preserving and grammatical, and thus are quite restricted. Possible changes include: changes in word order (*Kim sometimes*  $goes \equiv$ *Kim goes sometimes*), lexical substitution (*everyone*  $\equiv$  *everybody*), contractions (*going to*  $\equiv$  *gonna*) and a limited number of corrections (*the the*  $\rightarrow$  *the*). We give an example of paraphrasing in [\(20\)](#page-48-0). The grammar treats all of these sentences as semantically equivalent.

<span id="page-48-0"></span>(20) この こと から 、 会社 に は 事故 の 責任 が 無い こと に なる 。

- a. It follows from this that the company is not responsible for the accident. (= original)
- b. It follows that the company isn't responsible for the accident from this.
- c. It follows that the company is not responsible for the accident from this.
- d. That the company isn't responsible for the accident follows from this.

We next introduce some related work, then the resources we use in this chapter. This is followed by a description of the method and the evaluation. Finally, we discuss the results and our future research plans.

# **2 Related Work**

Approaches to applying paraphrasing in MT can be roughly classified into the following groups: (1) paraphrasing to expand a machine translation system's coverage, (2) paraphrasing to increase the amount of training or development data, and (3) paraphrasing to increase the similarity between the source and target languages.

In this section, we discuss representative works in each group and compare them with our proposed approach. We limit discussion to *applications* of paraphrasing to machine translation, excluding general discussion of methods of acquiring paraphrases.

#### **2.1 Paraphrasing to Expand Translation Coverage**

[Callison-Burch et al.](#page-125-0) [\(2006\)](#page-125-0) use paraphrases to increase the coverage of unknown source language words in an SMT system. They automatically acquire source language paraphrases from a parallel corpus by using target language phrases as pivots.

An example of this approach is given below. By using the German phrase *unter kontrolle* as a pivot, the English phrase *under control* can be paraphrased as *in check*.

- (21) what is more, the relevant cost dynamic is completely under control im übrigen ist die diesbezügliche kostenentwicklung völlig unter kontrolle
- (22) wir sind es den steuerzahlern die kosten schuldig unter kontrolle zu haben we owe it to the taxpayers to keep the costs in check

New translations are constructed by identifying source language unknown words, finding paraphrases of the unknown words in the system's phrase table, and adding new translation pairs consisting of the unknown word and the translation of its paraphrase. New entries to the phrase table are given the original translation probabilities multiplied by the probability of the source language paraphrases used in their construction. [Callison-Burch et al.](#page-125-0) [\(2006\)](#page-125-0) showed improvements for sparse datasets for  $Spanish \rightarrow English$  and French $\rightarrow$ English systems constructed on the Europarl Corpus.

[Marton et al.](#page-129-0) [\(2009\)](#page-129-0) also use paraphrases to expand an SMT system's phrase table, but they use semantic similarity distribution measures to acquire source language paraphrases from monolingual corpora. They evaluate on Chinese $\rightarrow$ English and  $Spanish \rightarrow English$  translation tasks, also improving systems trained on sparse datasets, but their approach degrades system performance when trained on 80k or more of data.

[Guzman Herrera and Garrido Luna](#page-127-2) [\(2007\)](#page-127-2) take a similar approach and learn new ´ translations for Spanish $\rightarrow$ English from multi-lingual corpora by using French as a pivot. New translations are added to an existing SMT system's phrase table with probabilities estimated by taking a weighted sum of the combination of paraphrases that produced the new translation. They investigate different weights for the composite paraphrases but do not present evaluations against a baseline system.

The work by [Callison-Burch et al.](#page-125-0) [\(2006\)](#page-125-0), [Marton et al.](#page-129-0) [\(2009\)](#page-129-0), and [Guzman Her-](#page-127-2) ´ [rera and Garrido Luna](#page-127-2) [\(2007\)](#page-127-2) focus on integrating paraphrases acquired from multiand mono- lingual corpora into an existing SMT system's phrase table with the primary goal of reducing the number of unknown words the system encounters. In order to integrate external paraphrases into an existing phrase table, a measure of translation probability is necessary, and so they develop a series of heuristics to score the artificial alignments produced by pairing paraphrases of a source phrase found in a parallel corpus with the original phrase's alignment in the phrase table.

In contrast, our system produces paraphrases of the sentences in a parallel corpus, and does not alter the phrase table creation process. Rather than learning paraphrases directly from corpora, as in the case of the aforementioned works, our paraphrases are produced from external lexico-syntactic knowledge in the form of an HPSG grammar. English sentences are parsed into a semantic representation that normalizes word order, spelling, and small number of lexical items. Paraphrases are produced from the semantic representation using the same grammar and parser, with paraphrases ranked by a maximum entropy generation model trained on an HPSG treebank. Unlike methods that learn paraphrases directly from corpora, our HPSG paraphrases are limited to grammatical English, eliminating the problem of noisy data.

### **2.2 Paraphrasing to Increase Translation Data**

[Nakov](#page-129-1) [\(2008\)](#page-129-1) used paraphrases to increase training and parameter tuning data for SMT system. He produced sentence-level paraphrases by using a small set of rules to identify and transform noun phrases in the parallel corpus (e.g.,  $NP_1$  of  $NP_2 \equiv NP_2$  *'s*  $NP_1$ ).

Some examples from [Nakov](#page-129-1) [\(2008\)](#page-129-1) are:

- (23) of members of the Irish parliament of Irish parliament members of Irish parliament's members
- (24) action at community level community level action

These transformations were structural, not lexical, in nature and limited in scope. [Nakov](#page-129-1) [\(2008\)](#page-129-1) found that noun phrase-based paraphrases were most effective when applied to training data, achieving a BLEU score gain of about 1 point for limited corpus sizes.

Paraphrases have also been used to enrich the data used for parameter tuning in SMT systems. [Madnani et al.](#page-129-2) [\(2007\)](#page-129-2) obtained English language paraphrases by identifying paraphrases using a pivot language as in [Callison-Burch et al.](#page-125-0) [\(2006\)](#page-125-0) and produced sentence-level English paraphrases by training an English $\rightarrow$ English hierarchical SMT system [\(Chiang, 2005\)](#page-126-1). Experiments showed that paraphrasing the tuning data used for MERT in a Chinese $\rightarrow$ English hierarchical SMT system performed competitively with increasing human references. Paraphrasing data for parameter tuning is a promising approach, however, evaluating our paraphrasing method in tuning remains future work.

## **2.3 Paraphrasing to Increase Linguistic Similarity**

Another use of paraphrasing is to increase the similarity between source and target languages in order to facilitate translation. The approaches discussed here can be classified into methods that try to simplify the source language vocabulary and those that reorder the source language into a form closer to the word order of the target language.

#### **Simplifying Source Language Vocabulary**

One of the earliest applications of paraphrasing to simplify translation input is shown by the rule-based Japanese  $\rightarrow$  English MT system, **ALT-J/E**. [Shirai et al.](#page-131-2) [\(1993\)](#page-131-2) simplified untranslatable Japanese input into a "pseudo-source language" that, while ungrammatical, was easier for **ALT-J/E** to parse and translate. [Yamamoto](#page-133-0) [\(2001\)](#page-133-0) adopted a similar approach with his "Sandglass Paradigm" – normalizing input to a rule-based MT system before expanding it again during the translation phase. [Watanabe et al.](#page-132-0) [\(2002\)](#page-132-0) also used paraphrases to normalize source language text system by detecting paraphrases in a parallel corpus and replacing them with the most commonly occurring variant. Paraphrases were automatically detected with a dynamic programming algorithm, and the normalized data was used to train an SMT system.

Our approach is similar in spirit to these normalization efforts, however, instead of using simple heuristics or identifying paraphrases in a corpus, we apply an external source of knowledge: a rich, lexical grammar. In addition, instead of directly transforming system input, our approach uses paraphrases to enrich the training data, making it more robust by providing instances of lexical and syntactic variants.

#### **Reordering Source Language Text**

Overcoming differences in word order is a challenge for translating highly divergent language pairs like Japanese-English or German-English. Recently there has been much work on improving SMT by reordering the source language to closer resemble the word order of the target language.

[Nießen and Ney](#page-129-3) [\(2001\)](#page-129-3) identify differences in question order and long-distance verbal prefix scrambling as phenomena that cause difficulties for German $\leftrightarrow$ English statistical machine translation and used shallow patterns to reorder "harmonize word order" between the German and English. [Collins et al.](#page-126-2) [\(2005\)](#page-126-2) made use of parses of source sentences to develop a reordering heuristic as well. [Komachi et al.](#page-128-2) [\(2006\)](#page-128-2) proposed a reordering model that took into account predicate-argument structure in Japanese and followed a heuristic for reordering sentences in the training data as a preprocessing step. The reordering produces sentences that are not grammatical Japanese, however, they are closer in word order to English, facilitating the SMT alignment pro-cess. [Katz-Brown and Collins](#page-128-3) [\(2008\)](#page-128-3) found that for Japanese  $\rightarrow$  English phrasal SMT a naïve reversal of Japanese source language word order outperformed a dependencybased reordering model. [Xu and Seneff](#page-132-1) [\(2008\)](#page-132-1) use a rule-based parser to parse English and then generate *Zhonglish*<sup>[1](#page-0-0)</sup>: English reordered to resemble Chinese, with some Chinese function words added. The result is then translated using an SMT system.

Our approach also produces variants in word order, however, they are not artificial reorderings to reduce word order differences. Rather, these variants are all valid English as defined by the English HPSG grammar. We make the SMT system's training data more robust and representative of English by providing paraphrases that encapsulate the possible positions of adjuncts, such as adverbial and preposition phrases; relative clauses; and other linguistic phenomena in English with variable word order.

# **3 Resources**

In this section we describe the major resources used. For the SMT system we used the open-source Moses system. For paraphrasing we used the open-source English Resource Grammar. We evaluated on the Tanaka Corpus. We chose the Tanaka corpus primarily because of its unencumbered availability (it is in the public domain), making our results easy to reproduce. In the spirit of open science, we have made the paraphrased Tanaka Corpus data as well as the scripts and Moses settings files necessary to

<sup>&</sup>lt;sup>1</sup> a term coined by the paper's authors

<span id="page-53-0"></span>

Table 3.1. Tools used for paraphrasing and translation. Table 3.1. Tools used for paraphrasing and translation.

<span id="page-53-1"></span>

Table 3.2. Japanese->English METEOR scores for training data size vs. paraphrases.  $\rightarrow$  English METEOR scores for training data size vs. paraphrases. Table 3.2. Japanese

reproduce our experiments available online<sup>[2](#page-0-0)</sup>. A summary of all tools used is given in Table [3.1.](#page-53-0)

#### **3.1 Moses**

Moses [\(Koehn et al., 2007\)](#page-128-0) is in the words of its creators "a factored phrase-based beam-search decoder for machine translation." It is distributed as open-source software with a collection of utilities that make it easy for users to construct their own SMT system when used with tools for constructing word alignments and language models. For word alignments we used the  $qiza-pp$  branch of  $GIZA++$  [\(Och and Ney, 2003\)](#page-130-1). To construct language models, we used the SRILM Toolkit [\(Stolcke, 2002\)](#page-131-0).

#### **3.2 The English Resource Grammar**

The LinGO English Resource Grammar (ERG; [\(Flickinger, 2000\)](#page-126-3)) is a broad-coverage, linguistically precise HPSG-based grammar of English that has been under development at the Center for the Study of Language and Information (CSLI) at Stanford University since 1993. The ERG was originally developed within the Verb*mobil* machine translation effort, but over the past few years has been ported to additional domains and significantly extended. The grammar includes a hand-built lexicon of around 43,000 lexemes. We are using the development release LinGO (Apr-08). Parsing was done with the efficient, unification-based chart parser, PET [\(Callmeier, 2002\)](#page-125-1), and generation with the Linguistic Knowledge Base [\(Copestake, 2002\)](#page-126-4). The ERG and associated parsers and generators are freely available from the Deep Linguistic Processing with HPSG Initiative.

For the most part, we use the default settings and the language models trained in the LOGON project both for parsing and generation [\(Velldal and Oepen, 2006\)](#page-132-2). However, we set the root condition, which controls which sentences are treated as grammatical, to be **robust** for parsing and **strict** for generation. This means that robust rules (e.g. a rule that allows verbs to not agree in number with their subject) will apply in parsing but not in generation. The grammar will thus parse *The dog bark* or *The dog barks* but only generate *The dog barks*.

 $^{2}$ <http://www3.ntu.edu.sg/home/fcbond/data/>



<span id="page-55-0"></span>Figure 3.1. Paraphrasing process for "*Everybody often goes to the the movies.*"

### **3.3 The Tanaka Corpus**

The Tanaka corpus is an open corpus of Japanese-English sentence pairs compiled by Professor Yasuhito Tanaka at Hyogo University and his students [\(Tanaka, 2001\)](#page-132-3) and released into the public domain. For more information on the Tanaka Corpus, see Section [7.1.](#page-33-0)

The version (2007-04-05) we use has 147,190 sentence pairs in the training split, along with 4,500 sentence pairs reserved for development and 4,500 sentence pairs for testing. After filtering out long sentences ( $> 40$  tokens) as part of the SMT cleaning process, there were 147,007 sentences in the training set. The average number of tokens per sentence is 11.6 for Japanese and 9.1 for English (with the tokenization used in the SMT system).

# **4 Method**

### **4.1 Paraphrasing**

We paraphrase by parsing a sentence to an abstract semantic representation using the English Resource Grammar then generating from the resultant semantic representation using the same grammar. The semantic representation used is Minimal Recursion Semantics (MRS: [\(Copestake et al., 2005\)](#page-126-5)). We give an example of the paraphrasing process in Figure [3.1](#page-55-0) that shows three kinds of paraphrasing. The input sentence is "*Everybody often goes to the the movies.*" It is paraphrased to the MRS shown in Figure [3.2.](#page-56-0) From that, six sentences are generated. The paraphrased sentences show three  $\langle h_1, h_2 \rangle$  $h_3$ :person (ARG0  $x_4$ {PERS 3, NUM *sg*}),  $h_5$ :every q (ARG0  $x_4$ , RSTR  $h_6$ , BODY  $h_7$ ), *h*<sub>8</sub>: often a 1 (ARG0  $e_9$ {TENSE *untensed*}, ARG1  $e_2$ {TENSE *pres*}), *h*<sub>8</sub>: go v 1 (ARG0  $e_2$ , ARG1  $x_4$ ),  $h_8$ : to p (ARG0  $e_{10}$  {TENSE *untensed*}, ARG1  $e_2$ , ARG2  $x_{11}$ ),  $h_{12}$ : the q (ARG0  $x_{11}$ , RSTR  $h_{14}$ , BODY  $h_{13}$ ),  $h_{15}$ : movie n of (ARG0  $x_{11}$  {PERS 3, NUM *pl*, IND +}, ARG1  $i_{16}$  {SF *prop*})  $\{ h_6 =_q h_3, h_{14} =_q h_{15} \}$ 

<span id="page-56-0"></span>Figure 3.2. Semantic representation of "*Everybody often goes to the the movies.*"

changes. Firstly, the erroneous *the the* is corrected to *the*; secondly, *everybody* is optionally paraphrased as *everyone* and finally the adverb *often* appears in three positions (pre-verb, post-verb, post-verb-phrase). We consider the first two to be lexical paraphrases (changes in words) and the latter syntactic paraphrases. Of course, for most sentences there is a combination of lexical and syntactic paraphrases.

"Score" in Figure [3.1](#page-55-0) gives a maximum entropy based likelihood estimate to each of the paraphrases. Note that the highest ranked paraphrase is not in this case the original sentence. The paraphrase is quite conservative: sentence initial *often* is not generated, as that is given a different semantics (it is treated as focused). There are no open class paraphrases like  $film \equiv movie$ . Only a handful of closed class words are substituted, typically those that get decomposed semantically, (e.g., *everybody*  $\equiv$  *every(x)*, *person(x)*).

We attempted to parse all sentences of the Tanaka Corpus with the ERG and the PET parser. We got one or more well-formed semantic representation for 87.1% of the sentences (the remainder were rejected as ungrammatical). We selected the top ranked representation and attempted to generate from it, this time using the ERG and the LKB generator. We were able to generate one or more realizations for 83.4% of the original sentences. However, many of these gave only one realization and it was identical to the input sentence. Only 53.4% of the sentences had at least one distinct paraphrase; 31.2% had two, 21.2% had three, dropping down to only 1.1% with ten distinct paraphrases.

We show the distribution of paraphrase types over all of the generated paraphrases



<span id="page-57-0"></span>Figure 3.3. Types of paraphrases (Lexical and Syntactic)

in Figure [3.3.](#page-57-0) Lexical paraphrases are identified by comparing the set of lexical items in the input with those in the output. If they are different, then there is a lexical paraphrase (Lex  $\neq$ ). Syntactic paraphrases are identified by comparing the parse trees. Almost a quarter of the sentences generated are the same as the input (Lex  $=$ , Syn =). Most variations include some syntactic paraphrasing (Syn  $\neq$ : 42%), purely lexical paraphrasing is relatively uncommon (8%).

## **4.2 Corpus Expansion**

Typically when learning translation models, it is assumed that each sentence pair in the parallel corpus is given the same weight. This raises the question of how additional paraphrased data should be weighed. A straight-forward approach would be to simply add each new paraphrase directly to the corpus. However, as sentences can have different numbers of paraphrases, we risk assigning a different weight to each set of original sentence pair and derived paraphrase. A more sophisticated approach would be to assure that each set maintains the same overall weight by distributing it equally among each paraphrase, or by using the paraphrase generation score from Figure [3.1](#page-55-0) to give more likely paraphrases a higher weight. Here, we explore several methods of assigning weights to the paraphrased data by varying the number of times we add each new paraphrase to the parallel corpus.

To make the enhanced training data, we add up to n distinct paraphrases to each unchanged Japanese sentence and original English sentence. We convert all paraphrases to lowercase before checking for uniqueness. If there were m paraphrases, and  $n \leq m$ then we just add in the top n ranked paraphrases. If  $n > m$  then we produced three test sets:

- (d)istributed: rotate between the original sentence and each paraphrase until the data has been padded out
- (f)irst: after all paraphrases are used, the first (original) sentence is repeated to pad out the data
- (v)arying: add just the paraphrases without padding all entries to the same number of sentences

These variations are shown in the table below. Both  $(d \text{ and } f)$  keep the distribution close to the original corpus.  $d$  puts more weight on the paraphrased sentences and  $f$ puts more weight on the original sentence. For  $v$  the frequency is distorted; some sentences will be repeated many times. For  $n \leq 2$ , d and f are the same.

 $d\, \mid\, e_{0} \quad e_{1} \quad e_{2} \quad e_{0} \quad e_{1}$  $f\left\|\begin{array}{cccc} e_0 & e_1 & e_2 & e_0 & e_0 \end{array}\right\|$  $v \bigm| e_0 \quad e_1 \quad e_2$ 

Paraphrase distributions ( $n = 4, m = 2$ )

# **5 Evaluation**

In this section, we investigate the effects of supplementing training data with paraphrases on the Tanaka Corpus. We construct phrase-based SMT systems using Moses

for the English $\rightarrow$ Japanese and Japanese $\rightarrow$ English language pairs, and evaluate systems on various training corpus sizes.

### **5.1 Moses Baseline**

We replicated the baseline in the ACL 2007 Second Workshop on Statistical Machine Translation. The baseline is a factorless Moses system with a 5-gram language model. We followed the online tutorial<sup>[3](#page-0-0)</sup> as-is, with the exception that we used external morphological analyzers to tokenize our data instead of using the provided scripts. We used the Tree Tagger [\(Schmid, 1994\)](#page-131-1) for English and MeCab [\(Kudo et al., 2004\)](#page-128-1) with NAIST Jdic for Japanese. Part-of-speech information was discarded after tokenization.

All data was tokenized, separating punctuation from words and converted to lowercase prior to training and translation. Translations were detokenized and recased prior to evaluation using the helper scripts distributed as part of the baseline system for the ACL 2007 SMT Workshop.

Prior to evaluation we conducted Minimum Error Rate Training on each system using the development data from the target corpus. We used the MERT implementation distributed with Moses. All results reported in this article are post-MERT BLEU scores.

### **5.2 Data Preparation**

In order to measure the effectiveness of our method, we evaluated the Japanese  $\rightarrow$  English and English $\rightarrow$ Japanese language pairs over the Tanaka Corpus. Because our HPSG parsers perform best on data that is split on the sentence level, wherever possible we split the corpora into the finest possible sentence pairs. We used the following algorithm to split the evaluation data. However, most of the data in the Tanaka Corpus has already been split at the sentence level as part of the JMdict initiative.

- For each sentence pair:
	- **–** split each sentence on sentence-final punctuation (.?!)
	- **–** rejoin split on common English titles (Mr./Ms./Mrs./Dr.)

 $3$ <http://www.statmt.org/wmt07/baseline.html>



<span id="page-60-0"></span>Figure 3.4. Learning curve for English  $\rightarrow$  Japanese *first* paraphrase distribution

<span id="page-60-1"></span>Figure 3.5. Learning curve for Japanese  $\rightarrow$  English *first* paraphrase distribution



- **–** split sentence pairs with same number of source and target sentences into new pairs
- **–** treat sentence pairs with different number of source and target sentences as a single pair

### **5.3 Results**

We evaluated the effects of adding paraphrases to various initial training data sizes using BLEU and METEOR scores. We compared a baseline of no-paraphrases-added  $(d.0/f.0)$  to systems with progressively larger numbers of new paraphrased sentence pairs added to each training data size. We tested three distributions  $(d, f \text{ and } v)$ . v always gave results below the baseline, so we do not report them in more detail. We give several analyses for  $d$  and  $f$  below.

#### **Learning Curves**

We give learning curves in Figures [3.4](#page-60-0) and [3.5.](#page-60-1) The average BLEU scores for *distributed* and *first* paraphrase systems are plotted against training corpus sizes (10k, 25k, 50k, 100k, 125k, and a maximum size of 147k). The training data axis is scaled logarithmically. Best fit lines for the baseline  $(d.0/f.0)$  and each of the paraphrases show that there is a log-linear relationship in training data size and BLEU score. Paraphrasing almost always outperforms the baseline for small data sets (EJ: 10k-25k, JE: 10k-75k) and large data sets (EJ: 100k-147k, JE: 125k-147k). The region in the middle (EJ: 50k-75k, JE: 100k) is anomalous; the paraphrased averages are below the baseline. We suspect this may be caused by these data sizes containing non-representative samples of data or paraphrases.

#### **BLEU Score**

BLEU scores were calculated using the multi-BLEU.perl implementation distributed with Moses. We measured the statistical significance of BLEU score differences with the bootstrap methods outlined in [Koehn](#page-128-4) [\(2004\)](#page-128-4) using Jun-ya Norimatsu's MIT-Licensed BLEU Kit. BLEU scores for d and f are given in Table [3.3,](#page-62-0) results with an improvement of  $p \leq 0.05$  over the baseline are shown in **bold**, and the best score

<span id="page-62-0"></span>

Table 3.3. English $\rightarrow$ Japanese (top) and Japanese $\rightarrow$ English (bottom) BLEU scores for training data size vs. paraphrases.

for each data size is underlined. We observe a maximum gain of **0.67** BLEU points for English $\rightarrow$ Japanese at (10k, d.4) and a maximum gain of **0.63** for Japanese $\rightarrow$ English at (50k,  $d.2/f.2$ ). Gains appear to peak at 8 paraphrases; d.10 and f.10 rarely achieve higher scores that can be achieved with fewer paraphrases. The large number of statistically significant BLEU score improvements reinforce our observations made from the learning curves that paraphrasing is beneficial for small data sets and large data sets. We also notice a trend that small numbers of heavily weighted paraphrases like d:4 are more effective for small data sets, while larger numbers of lightly-weighted paraphrases like  $f:8$  are more effective for large data sets.

#### **Meteor Score**

METEOR [\(Banerjee and Lavie, 2005\)](#page-124-0) is an advanced MT evaluation metric that uses stemming and WordNet synonym matching to relax constraints for English n-gram matches to achieve higher levels of correlation to human judgement than possible with simpler metrics like BLEU and NIST. We calculated all METEOR scores using version 1.0 with the following options: stemming, WordNet stemming, WordNet synonym matching, and "normalization" – stripping of punctuation and conversion to lower case. METEOR score for Japanese $\rightarrow$ English for d and f are given in Table [3.2.](#page-53-1) The METEOR scores do not show as consistent gains as BLEU scores do, but the 10k data set shows great improvements for every paraphrase size. We also note a correlation between statistically significant BLEU score gains and METEOR score improvements; 14/20 paraphrase systems with statistically significant BLEU score gains have increases in METEOR scores.

# **6 Discussion**

Overall, we show consistent, statistically significant improvements on the Tanaka Corpus. Paraphrased SMT systems show statistically significant improvements over the baseline for the majority of the data sizes tested. Furthermore, we observe a log-linear relationship between the size of the system's training data and the BLEU score, with best-fit lines demonstrating the superiority of the paraphrased system over the baseline.

Table [3.4](#page-64-0) shows some examples of how translation output changes with the addition of various amounts of paraphrasing data for the Japanese  $\rightarrow$  English language pair.



<span id="page-64-0"></span>Table 3.4. Example Japanese $\rightarrow$ English translations from SMT systems trained on 147k of data. The system with the highest BLEU score is underlined.

The example translations contain difficult-to-learn phrasal translations, such as *raining on and off* and *to the point*. As is to be expected from the BLEU scores, the system f.8 often gives the best translation. We theorize that the additional data provided by our paraphrases results in better phrasal alignments, which, in turn, improves lexical selection and allows the language model to produce more natural-sounding translations.

Compared to [Callison-Burch et al.](#page-125-0) [\(2006\)](#page-125-0), [Madnani et al.](#page-129-2) [\(2007\)](#page-129-2), or [Nakov](#page-129-1) [\(2008\)](#page-129-1) we are very conservative in our paraphrasing, and this is probably why we get a slightly lower improvement in quality. We could do more extravagant paraphrasing, but would have to retrain our HPSG parser's generation model to effectively rank the new lexical paraphrases. At the moment, it expects fully specified input MRSes. If we were going to allow variation in noun phrase structure or open class lexical variation, then the task could be re-framed as translating between English sentence, and we could build an English  $\rightarrow$  English semantic transfer system to produce richer paraphrases. An example of how to do this (for bilingual transfer of Norwegian $\rightarrow$ English) is given in [Oepen et al.](#page-130-2) [\(2007\)](#page-130-2).

Our syntactic reordering is not aimed at matching the target language like [Ko](#page-128-2)[machi et al.](#page-128-2) [\(2006\)](#page-128-2), [Xu and Seneff](#page-132-1) [\(2008\)](#page-132-1), or [Katz-Brown and Collins](#page-128-3) [\(2008\)](#page-128-3). We correspondingly get a smaller improvement. On the other hand, because our English paraphrasing method does not depend on a parallel corpus, we expect to get a similar improvement even for different language pairs. Also, our improvement is still there after MERT, whereas the improvement of [Komachi et al.](#page-128-2) [\(2006\)](#page-128-2) did not make it through the optimization.

# **7 Further Work**

There are three areas in which we think the current use of paraphrasing could be improved: (1) increasing the coverage of the grammar (2) adding new classes of paraphrase rules and (3) improving the integration with the SMT process.

To increase the cover of the paraphrasing, we need to improve the handling of unknown words. Currently, the grammar can parse unknown words (which brings the coverage up to almost 95%), but does not pass enough information to the generator to then generate them. We can overcome this with more powerful hybrid parsing, following [Adolphs et al.](#page-124-1) [\(2008\)](#page-124-1). A more far-ranging increase would be to paraphrase

the Japanese side as well. We are working on this using Jacy, an HPSG-based Japanese grammar similar to the ERG [\(Bond et al., 2008\)](#page-125-2) and applying the grammatical error tools of [Goodman and Bond](#page-127-3) [\(2009\)](#page-127-3) to improve the generation coverage of the Japanese grammar.

Before we increase the types of paraphrases we first need to measure which rules (e.g. lexical or syntactic) have the most effect. We then intend to create English rewriting rules using the MRS transfer machinery from the LOGON project, which is already used in an open source Japanese $\rightarrow$ English MT system [\(Bond et al., 2005\)](#page-125-3). For example, we can easily write noun phrase rewriting rules of the type used by [Nakov](#page-129-1) [\(2008\)](#page-129-1). For lexical substitution we will try using WordNet, after first disambiguating the input.

Finally, we would like to enhance Moses (primarily GIZA++) so that input sentences can be weighted. That way, if we have n paraphrases for one sentence and  $m$ for another, each can just be entered with a weight of  $1/n$  and  $1/m$  respectively. If we could do this, we could then experiment with setting a probability based threshold on the number of paraphrases, for example, to select all paraphrases within  $\beta$  of the probability of the original sentence, according to some language model. In this way we could add only "good" paraphrases, and as many as we deem good for each sentence.

# **Chapter 4**

# **Ontology Construction**

# **1 Overview**

In this chapter, we outline the development of a system that automatically constructs ontologies by extracting knowledge from dictionary definition sentences using Robust Minimal Recursion Semantics (RMRS), a semantic formalism that permits underspecification. Combining deep and shallow parsing resource through the common formalism of RMRS allows us to extract ontological relations in greater quantity and quality than possible with any of the methods independently. Using this method, we construct ontologies from two different Japanese lexicons and one English lexicon. We then link them to existing, handcrafted ontologies, aligning them at the word-sense level. This alignment provides a representative evaluation of the quality of the relations being extracted. We present the results of this ontology construction and discuss how our system was designed to handle multiple lexicons and languages.

# **2 Background**

Automatic methods of ontology acquisition have a long history in the field of natural language processing. The information contained in ontologies is important for a number of tasks, for example word sense disambiguation, question answering and machine translation. In this chapter, we present the results of experiments conducted in automatic ontological acquisition over two languages, English and Japanese, and from three different machine-readable dictionaries.

Useful semantic relations can be extracted from large corpora using relatively simple patterns (e.g., [\(Pantel et al., 2004\)](#page-130-3)). While large corpora often contain information not found in lexicons, even a very large corpus may not include all the familiar words of a language, let alone those words occurring in useful patterns [\(Amano and Kondo,](#page-124-2) [1999\)](#page-124-2). Therefore it makes sense to also extract data from machine readable dictionaries (MRDs).

There is a great deal of work on the creation of ontologies from machine readable dictionaries (a good summary is [Wilkes et al.](#page-132-4) [\(1996\)](#page-132-4)), mainly for English. Recently, there has also been interest in Japanese [\(Tokunaga et al., 2001\)](#page-132-5). Most approaches use either a specialized parser or a set of regular expressions tuned to a particular dictionary, often with hundreds of rules. [Agirre et al.](#page-124-3) [\(2000\)](#page-124-3) extracted taxonomic relations from a Basque dictionary with high accuracy using Constraint Grammar together with hand-crafted rules. However, such a system is limited to one language, and it has yet to be seen how the rules will scale when deeper semantic relations are extracted. In comparison, as we will demonstrate, our system produces comparable results while the framework is immediately applicable to any language with the resources to produce RMRS. Advances in the state-of-the-art in parsing have made it practical to use deep processing systems that produce rich syntactic and semantic analyses to parse lexicons. This high level of semantic information makes it easy to identify the relations between words that make up an ontology. Such an approach was taken by the MindNet project [\(Richardson et al., 1998\)](#page-131-3). However, deep parsing systems often suffer from small lexicons and large amounts of parse ambiguity, making it difficult to apply this knowledge broadly.

Our ontology extraction system uses Robust Minimal Recursion Semantics (RMRS), a formalism that provides a high level of detail while, at the same time, allowing for the flexibility of underspecification. RMRS encodes syntactic information in a manner that is general enough to make processing of and extraction from syntactic phenomena including coordination, relative clause analysis and the treatment of argument structure from verbs and verbal nouns. It provides a common format for naming semantic relations, allowing them to be generalized over languages. Because of this, we are able to extend our system to cover new languages that have RMRS resources available with a minimal amount of effort. The underspecification mechanism in RMRS makes



<span id="page-69-0"></span>Figure 4.1. RMRS for the Lexeed sense 2 definition of *driver* (Cabocha/JACY)

it possible for us to produce input that is compatible with our system from a variety of different parsers. By selecting parsers of various different levels of robustness and informativeness, we avoid the coverage problem that is classically associated with approaches using deep-processing; using heterogeneous parsing resources maximizes the quality and quantity of ontological relations extracted. Currently, our system uses input from parsers from three levels: with morphological analyzers the shallowest, parsers using Head-driven Phrase Structure Grammars (HPSG) the deepest and dependency parsers providing a middle ground.

Our system was initially developed for one Japanese dictionary (Lexeed). The use of the abstract formalism, RMRS, made it easy to extend to a different Japanese lexicon (Iwanami) and even a lexicon in a different language (GCIDE).

# **3 Robust Minimal Recursion Semantics**

Robust Minimal Recursion Semantics is a form of flat semantics which is designed to allow deep and shallow processing to use a compatible semantic representation,

「TEXT 自動車 を 運転 する 人						
<b>TOP</b> - h9						
	$\left[\begin{array}{c c} \text{ }jidousha\_n\_rel} \end{array}\right] \begin{array}{c c} \text{ }o\_p\_rel \end{array} \begin{array}{c} \text{ }j \text{ } (unten\_s\_rel} \end{array}\begin{array}{c} \text{ }suru\_v\_rel} \end{array}\begin{array}{c} \text{ }j \text{ } (hito\_n\_rel} \end{array}\begin{array}{c} \text{ }j \text{ } k & l \end{array}$					
	$\begin{bmatrix} \cdot & \cdot & \cdot \\ \cdot & h \cdot l & \cdot \\ \cdot & \cdot & h \cdot l \end{bmatrix} \begin{bmatrix} \cdot & \cdot & \cdot \\ \cdot & h \cdot l & \cdot \\ \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot \end{bmatrix} \begin{bmatrix} \cdot & \cdot & \cdot \\ \cdot & h \cdot l & \cdot \\ \cdot & \cdot & \cdot \end{bmatrix} \begin{bmatrix} \cdot & \cdot & \cdot \\ \cdot & h \cdot l & \cdot \\ \cdot & \cdot & \cdot \end{bmatrix} \begin{bmatrix} \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \end{bmatrix} \begin{bmatrix$ $ RELS \{ LBL \ hI$					

<span id="page-70-0"></span>Figure 4.2. RMRS for the Lexeed sense 2 definition of *driver* (ChaSen)

with fine-grained atomic components of semantic content so shallow methods can contribute just what they know, yet with enough expressive power for rich semantic content including generalized quantifiers [\(Frank, 2004\)](#page-126-6). The architecture of the representation based on Minimal Recursion Semantics [\(Copestake et al., 2005\)](#page-126-5), including a bag of labeled elementary predicates (EPs) and their arguments, a list of scoping constraints which enable scope underspecification, and a handle that provides a hook into the representation.

The representation can be underspecified in three ways: relationships can be omitted (such as quantifiers, messages, conjunctions and so on); predicate-argument relations can be omitted; and predicate names can be simplified. Predicate names are defined in such a way as to be as compatible (predictable) as possible among different analysis engines, using a lemma pos subsense naming convention, where the subsense is optional and the part-of-speech (pos) for coarse-grained sense distinctions is drawn from a small set of general types (**n**oun, **v**erb, **s**ahen (verbal noun), . . . ). The predicate **unten s**, for example, is less specific than **unten s 2** and thus subsumes it. In order to simplify the combination of different analyses, the EPs are indexed to the corresponding character positions in the original input sentence.

Examples of deep and shallow results for the same sentence 自動車を運転 する人 *jidōsha wo unten suru hito* "a person who drives a car (lit: car-ACC drive do person)" are given in Figures [4.1](#page-69-0) and [4.2](#page-70-0) (omitting the indexing). Real predicates are prefixed by an under-bar ( ). The deep parse gives information about the scope, message types and argument structure, while the shallow parse gives little more than a list of real and grammatical predicates with a hook.



 $\overline{\phantom{a}}$  $\overline{1}$ 

Figure 4.3. Entry for the word *driver* from Lexeed
## **4 Machine-Readable Dictionaries**

#### **4.1 The Lexeed Semantic Database of Japanese**

The Lexeed Semantic Database of Japanese is a machine readable dictionary that covers the most familiar open class words in Japanese as measured by a series of psycholinguistic experiments [\(Kasahara et al., 2004\)](#page-128-0). Lexeed consists of all open class words with a familiarity greater than or equal to five on a scale of one to seven. This gives 28,000 words divided into 46,000 senses and defined with 75,000 definition sentences. All definition sentences and example sentences have been rewritten to use only the 28,000 familiar open class words. The definition and example sentences have been treebanked with the JACY grammar  $(\S 5.1)$  $(\S 5.1)$ .

An example entry for the word ドライバー *doraiba¯* "driver" is given in Figure [4.3,](#page-71-0) with English glosses added. The underlined material was not in Lexeed originally, we add it in this paper. *doraiba* "driver" has a familiarity of 6.55 and three senses.

#### **4.2 The Iwanami Dictionary of Japanese**

The Iwanami Kokugo Jiten (Iwanami) [\(Nishio et al., 1994\)](#page-129-0) is a concise Japanese dictionary. A machine tractable version was made available by the Real World Computing Project and was used in the SENSEVAL-2 Japanese lexical task [\(Shirai, 2003\)](#page-131-0). Iwanami has 60,321 headwords and 85,870 word senses. Each sense in the dictionary consists of a sense ID and morphological information (word segmentation, POS tag, base form and reading, all manually post-edited).

#### **4.3 The GNU Contemporary International Dictionary of English**

The GNU Collaborative International Dictionary of English (GCIDE) is a freely available dictionary of English based on Webster's Revised Unabridged Dictionary (published in 1913), and supplemented with entries from WordNet and additional submissions from users. It currently contains over 148,000 definitions. The version used in this research is formatted in XML and is available for download<sup>[1](#page-0-0)</sup>.

<sup>1</sup><http://www.ibiblio.org/webster/>

Driver, n. From Drive.

- 1. One who, or that which, drives; the person or thing that urges or compels anything else to move onward.
- 2. The person who drives beasts or a carriage; a coachman; a charioteer, etc.; hence, also, one who controls the movements of a any vehicle.
- 3. An overseer of a gang of slaves or gang of convicts at their work.
- 4. (Mach.) A part that transmits motion to another part by contact with it, or through an intermediate relatively movable part, as a gear which drives another, or a lever which moves another through a link, etc. Specifically:
	- (a) The driving wheel of a locomotive.
	- (b) An attachment to a lathe, spindle, or face plate to turn a carrier.
	- (c) A crossbar on a grinding mill spindle to drive the upper stone.
- 5. (Naut.) The after sail in a ship or bark, being a fore-and-aft sail attached to a gaff; a spanker. -Totten.
- 6. An implement used for driving; as:
	- (a) A mallet.
	- (b) A tamping iron.
	- (c) A cooper's hammer for driving on barrel hoops.
	- (d) A wooden-headed golf club with a long shaft, for playing the longest strokes.

<span id="page-73-0"></span>Figure 4.4. Example of the word *driver* from the GCIDE

An example of the entry for *driver* is shown in Figure [4.4.](#page-73-0) There is a typographical error in the definition for sense 2, (*a any*); such minor errors are not uncommon.

We arranged the headwords by frequency and segmented their definition sentences into sub-sentences by tokenizing on semicolons (;). This produced a total of 397,460 pairs of headwords and sub-sentences, for an average of slightly less than four subsentences per definition sentence. For corpus data, we selected the first 100,000 definition sub-sentences of the headwords with the highest frequency. This subset of definition sentences contains 12,440 headwords with 36,313 senses, covering approximately 25% of the definition sentences in the GCIDE. The GCIDE has the most polysemy of the lexicons used in this research. It averages over 3 senses per word defined in comparison to Lexeed and Iwanami which both have less than 2.

## **5 Parsing Resources**

We used Robust Minimal Recursion Semantics (RMRS) designed as part of the Deep Thought project [\(Callmeier et al., 2004\)](#page-125-0) as the formalism for our ontological relation extraction engine. We used deep-processing tools from the Deep Linguistic Processing with HPSG Initiative (DELPH-IN) as well as medium- and shallow-processing tools for Japanese processing (the morphological analyzer ChaSen and the dependency parser Cabocha) from Matsumoto Laboratory.

## **5.1 Deep Parsers (JACY,** ERG **and PET)**

For both Japanese and English, we used the PET System for the high-efficiency processing of typed feature structures [\(Callmeier, 2000\)](#page-125-1). For Japanese, we used JACY [\(Siegel, 2000\)](#page-131-1), for English we used the English Resource Grammar (ERG: [\(Flickinger,](#page-126-0)  $2000)$ ).<sup>[2](#page-0-0)</sup>

#### <span id="page-74-0"></span>**JACY**

The JACY grammar is an HPSG-based grammar of Japanese which originates from work done in the Verb*mobil* project [\(Siegel, 2000\)](#page-131-1) on machine translation of spoken

<sup>&</sup>lt;sup>2</sup>Both grammars and the PET parser are available at  $http://www.de1ph-in.net/$ .



Figure 4.5. RMRS for the GCIDE definition of *driver* (ERG)

dialogues in the domain of travel planning. It has since been extended to accommodate written Japanese and new domains (such as automatic email response and parsing machine readable dictionaries).

The grammar implementation is based on a system of types. There are around 900 lexical types that define the syntactic, semantic and pragmatic properties of the Japanese words, and 188 types that define the properties of phrases and lexical rules. The grammar includes 50 lexical rules for inflectional and derivational morphology and 47 phrase structure rules. The lexicon contains around 36,000 lexemes.

#### **The English Resource Grammar (**ERG**)**

The English Resource Grammar (ERG: [\(Flickinger, 2000\)](#page-126-0)) is a broad-coverage, linguistically precise grammar of English, developed within the Head-driven Phrase Structure Grammar (HPSG) framework, and designed for both parsing and generation. Originally launched within the Verb*mobil* [\(Wahlster, 2000\)](#page-132-0) spoken language machine translation project for the particular domains of meeting scheduling and travel planning, the ERG has since been substantially extended in both grammatical and lexical coverage, reaching 80-90% coverage of sizable corpora in two additional domains: electronic commerce customer email, and the tourism brochures.

The grammar includes a hand-built lexicon of 23,000 lemmas instantiating 850 lexical types, a highly schematic set of 150 grammar rules, and a set of 40 lexical rules, all organized in a rich multiple inheritance hierarchy of some 3000 typed feature structures. Like other DELPH-IN grammars, the ERG can be processed by several parsers and generators, including the LKB [\(Copestake, 2002\)](#page-126-1) and PET [\(Callmeier,](#page-125-1) [2000\)](#page-125-1). Each successful ERG analysis of a sentence or fragment includes a fine-grained semantic representation in MRS.

For the task of parsing the dictionary definitions in GCIDE (the GNU Collaborative International Dictionary of English; see below), the ERG was minimally extended to include two additional fragment rules, for gap-containing VPs and PPs (idiosyncratic to this domain), and additional lexical entries were manually added for all missing words in the alphabetically first 10,000 definition sentences.

These first 10,000 sentences were parsed and then manually tree-banked on the Redwoods [\(Oepen et al., 2004b\)](#page-130-0) model, to provide the training material for constructing the stochastic model used for best-only parsing of the rest of the definition sentences. Then using POS-based unknown-word guessing for missing lexical entries, PET was used with the ERG to produce a successful parse (and hence an MRS) for about 75% of the first 100,000 definition sentences in GCIDE.

#### **5.2 Medium Parser (Cabocha RMRS)**

We produce RMRS from the dependency parser Cabocha [\(Kudo and Matsumoto, 2002\)](#page-128-1). The method is similar to that of [Spreyer and Frank](#page-131-2) [\(2005\)](#page-131-2), who produce RMRS from detailed German dependencies. Cabocha provides fairly minimal dependencies: there are three links (dependent, parallel, apposition) and they link base phrases (Japanese *bunsetsu*), marked with the syntactic and semantic head. The Cabocha RMRS parser uses this information, along with heuristics based on the parts-of-speech, to produce underspecified RMRSes. Cabocha RMRS is capable of making use of HPSG resources, including verbal case frames, to further enrich its output. This allows it to produce RMRS that approaches the granularity of the analyses given by HPSG parsers. Indeed, Cabocha RMRS and JACY give identical parses for the example sentence in

Figure [4.1.](#page-69-0) One of our motivations in including a medium parser in our system is to extract more relations that require special processing; the flexibility of Cabocha RMRS and the RMRS formalism make this possible.

#### **5.3 Shallow Parser (ChaSen RMRS)**

The part-of-speech tagger, ChaSen [\(Matsumoto et al., 2007\)](#page-129-1) was used for shallow processing of Japanese. Predicate names were produced by transliterating the pronunciation field and mapping the part-of-speech codes to the RMRS super types. The part-of-speech codes were also used to judge whether predicates were real or grammatical. Since Japanese is a head-final language, the hook value was set to be the handle of the right-most real predicate. This is easy to do for Japanese, but difficult for English.

## **6 Ontology Construction**

Our approach to ontology construction is to process a definition sentence with shallow and deep parsers and extract ontological relations from the most informative RMRS output. Here, we describe the algorithm used to extract ontological relations from an RMRS structure:

- 1. let  $P_i$  be the number of real predicates in the defining sentence
	- IF  $P_i = 1$  (there is a unique real predicate) return:  $\langle$ **synonym**: headword, predicate $\rangle$
- 2. Initialize a stack of semantic relations to be processed with the semantic relation from the defining sentence's HOOK (the highest scoping handle)
- 3. Pop a semantic relation from the stack and check it against special relations that require additional processing
	- When a relation indicating coordination or conjunction is found, locate all of its arguments and push them onto the stack for processing
	- IF a special predicate is found, extract its relations and add them to the stack

 ELSE IF the current semantic relation is a real predicate, add it to list of extracted semantic heads

Repeat until stack is empty

- 4. Filer out all semantic heads whose parts of speech do not match the headword's part of speech
- 5. Return the ontological relations in the list of extracted semantic heads in the form:  $\langle$ **relation**: headword, semantic\_head $\rangle$

Step 1 checks for a *synonym* relation, shown by a defining sentence containing a genus term with no differentia. Such a sentence will have a semantic representation with only a single real predicate.

In Step 2, for more complicated defining sentences, we try and find the genus term, looking first at the predicate with the widest scope. This is given by the (R)MRS's HOOK. The default ontological relation for the genus term is *hypernym*.

Step 3 processes each semantic relation in the stack by searching for special relations that require additional processing in order to retrieve the semantic head. Special relations include explicit relation names (such as *ryaku* "abbreviation") and some grammatical predicates. This step identifies and processes special predicates, adding any results to the stack of unprocessed semantic relations. If a relation is not identified as being a special predicate, and it is a non-grammatical predicate, then it is accepted as a semantic head, and it is added to the list of extracted relations. Step 3 is repeated until the stack is empty.

Step 4 filters out headword-semantic head pairs that do not have matching parts of speech. This process is described in Section [6.1.](#page-78-0)

Step 5 returns the list of all non-grammatical predicates once all semantic heads have been processed for special relations and no new results are produced.

#### <span id="page-78-0"></span>**6.1 Special Relations**

Occasionally, relations which provide ontological meta-information, such as the specification of domain or temporal expressions, or which help identify the type of ontological relation present are encountered. We refer to these as *special relations*. We follow

<b>Special Relations</b>		<b>Ontological Relation</b>		
Japanese	English			
isshu, hitotsu	form, kind, one	hypernym		
soushou	common name	hyponym		
ryaku(shou)	abbreviation	abbreviation		
bubun, ichibu	part, piece	meronym		
meishou	name	name		
keishou	polite name	name:honorific		
zokushou	slang name	name:slang		

<span id="page-79-0"></span>Table 4.1. Special relations and their associated ontological relations

their lead and use a small number of rules to determine where the semantic head is and what ontological relation should be extracted. We give a list of example special relations in Table [4.1.](#page-79-0) This technique follows in a long tradition of special treatment of certain words that have been shown to be particularly relevant to the task of ontology construction or which are semantically content-free. These words or relations have also be referred to as "empty heads", "function nouns", or "relators" in the literature [\(Wilkes et al., 1996\)](#page-132-1). Our approach generalizes the treatment of these special relations to rules that are portable for any RMRS (modulo the language specific predicate names) giving it portability that cannot be found in approaches that use regular expressions or specialized parsers.

Special relations also give information about type of ontological relation that has been identified. They can confirm an implicit hypernym such as with *isshu* "one type" in Japanese or identify an entirely different relation, as in the case of the relation *part*, which identifies a meronym relationship in English or *meisho* "honorific name" identifying a name relation in Japanese. Specials predicates can also extract non-ontological relations such as domain.

Augmenting the system to work on English definition sentence simply entailed writing rules to handle special relations that occur in English. Our system currently has 26 rules for Japanese and 50 rules for English. These rules provide processing of relations like those found in Table [4.1,](#page-79-0) and they also handle processing of coordinate structures, such as noun phrases joined together with conjunctions such as *and*, *or*, and punctuation.

<b>Relation</b>		<b>Lexeed</b>			Iwanami		<b>GCIDE</b>
	Shallow	Medium	Deep	Shallow	Medium	Deep	Deep
hypernym	50,547	45,473	43,319	116,946	117,391	68,590	52,489
synonym	12,780	13,166	9,135	31,838	32,476	18,116	24,421
abbreviation		340	429		1,534	739	
meronym		236	193		398	103	559
name		102	99		271	150	

<span id="page-80-0"></span>Table 4.2. Results of ontology extraction

#### **6.2 Filtering by Part-of-Speech**

One of the problems we encountered in processing English dictionaries is that many of the definition sentences would have a semantic head with a part-of-speech different than that of the definition word. We found that differing parts-of-speech often indicated an undesirable ontological relation. One reason such relations can be extracted is when a sentence with a non-defining role, for example indicating usage, is encountered. Definition sentence for non-content-bearing words such a *of* or *the* also pose problems for extraction.

We avoid these problems by filtering by parts-of-speech twice in the extraction process. First, we select candidate sentence for extraction by verifying the definition word has a content word POS (i.e. adjective, adverb, noun, or verb). Finally, before we extract any ontological relation, we make sure that the definition word and the semantic head are in compatible POS classes.

While adopting this strategy does reduce the number of total ontological relations that we acquire, it increases their reliability. The addition of a medium parser gives us more RMRS structures to extract from, which helps compensate for any loss in number.

## <span id="page-80-1"></span>**7 Results**

The relations acquired in are summarized in Table [4.2.](#page-80-0) The columns specify source dictionary and parsing method while the rows show the relation type. These counts represent the total number of relations extracted for each source and method combination. The majority of relations extracted are synonyms and hypernyms, however,

some higher-level relations such as meronym and abbreviation are also acquired. It should also be noted that both the medium and deep methods were able to extract a fair number of special relations. In many cases, the medium method even extracted more special relations than the deep method. This is yet another indication of the flexibility of dependency parsing. Altogether, we extracted 105,613 unique relations from Lexeed (for 46,000 senses), 183,927 unique relations from Iwanami (for 85,870 senses), and 65,593 unique relations from GCIDE. As can be expected, a general pattern in our results is that the shallow method extracts the most relations in total followed by the medium method, and finally the deep method.

## **8 Verification with Hand-crafted Ontologies**

Because we are interested in comparing lexical semantics across languages, we compared the extracted ontology with resources in both the same and different languages.

For Japanese we verified our results by comparing the hypernym links to the manually constructed Japanese ontology GoiTaikei. It is a hierarchy of 2,710 semantic classes, defined for over 264,312 nouns [\(Ikehara et al., 1997\)](#page-127-0). The semantic classes are mostly defined for nouns (and verbal nouns), although there is some information for verbs and adjectives. For English, we compared relations to WordNet 2.0 [\(Fell](#page-126-2)[baum, 1998\)](#page-126-2). Comparison for hypernyms done as follows: look up the semantic class or synset C for both the headword  $(w_i)$  and genus term(s)  $(w_q)$ . If at least one of the index word's classes is subsumed by at least one of the genus' classes, then we consider the relationship confirmed [\(4.1\)](#page-81-0).

<span id="page-81-0"></span>
$$
\exists (c_h, c_g) : \{c_h \subset c_g; c_h \in C(w_h); c_g \in C(w_g)\}\tag{4.1}
$$

To test cross-linguistically, we looked up the headwords in a translation lexicon (**ALT-J/E** [\(Ikehara et al., 1991\)](#page-127-1) and EDICT [\(Breen, 2004\)](#page-125-2)) and then did the confirmation on the set of translations  $c_i \subset C(T(w_i))$ . Although looking up the translation adds noise, the additional filter of the relationship triple effectively filters it out again.

The total figures given in Table [4.3](#page-82-0) do not match the totals given in Table [4.2.](#page-80-0) These totals represent the number of relations where both the definition word and semantic head were found in at least one of the ontologies being used in this comparison. By comparing these numbers to the totals given in Section [7,](#page-80-1) we can get an idea for the



Confirmed relations in Lexeed

Confirmed relations in Lexeed

Table 4.3. Confirmed relations in GoiTaikei and WordNet Table 4.3. Confirmed relations in GoiTaikei and WordNet

<span id="page-82-0"></span>Verb 24.22 % ( 3006 / 12411 ) 21.40 % ( 1695 / 7919 ) 23.12 % ( 4701 / 20330 ) Total 39.48 % ( 10561 / 26747 ) 31.06 % ( 5922 / 19067 ) 35.98 % ( 16483 / 45814 )

Verb<br>Total

coverage of the ontologies being used in comparison. Lexeed has a coverage of approx. 55.74% ( $\frac{58,867}{105,613}$ ), with Iwanami the lowest at 48.20% ( $\frac{88,662}{183,927}$ ), and GCIDE the highest at 69.85%  $\left(\frac{45,814}{65,593}\right)$ . It is clear that there are a lot of relations in each lexicon that are not covered by the hand-crafted ontologies. This demonstrates that machine-readable dictionaries are still a valuable resource for constructing ontologies.

#### **8.1 Lexeed**

Our results using JACY achieve a confirmation rate of **66.84%** for nouns only and **60.67%** overall (Table [4.3\)](#page-82-0). This is an improvement over [Tokunaga et al.](#page-132-2) [\(2001\)](#page-132-2), who reported 61.4% for nouns only. We also achieve an impressive 33,333 confirmed relations for a rate of 56.62% overall. It is important to note that our total counts include all unique relations regardless of source. It is interesting to note that shallow processing outperforms medium with 22,540 verified relations (59.40%) compared to 21,806 (57.76%). This would seem to suggest that for the simplest task of retrieving hypernyms and synonyms, information beyond part-of-speech tagging is not necessary. However, since medium and deep parsing obtain relations not covered by shallow parsing and can extract special relations, a task that cannot be performed without syntactic information, it is beneficial to use them as well.

#### **8.2 Human Evaluation**

One problem with using existing ontological resources to verify new relations is that only relations which are subsumed by the ontology being used for comparison can be verified. This poses a considerable problem for researchers who wish to extract new relations: be it from domains where such resources are unavailable, or in cases where existing resources are limited in scope, such as for verbs. In this case, it makes more sense to evaluate a selection of the results retrieved by hand than to rely completely on existing ontologies for verification.

In this spirit, we conducted a hand-verification of a selection of the ontological relations acquired from Lexeed using Jacy. 1,471 relations were selected using a stratified method over the entirety of our results (every 35th relationship, ordered by link-type and then headword). In this evaluation we only consider synonyms and any relationships extracted from the first sentence: the second and subsequent definition sentences

tend to contain other non-hypernym information. The results were then evaluated by native speakers of Japanese were given the definition word, the semantic head we retrieved, and the posited relation type and asked to evaluate if the relation was accurate. They had access to the original lexicon.

The human judges found the relations presented to them to be accurate 88.99% of the time. In the 162 relations that were judged unacceptable, it was also determined that a relation did exist in 95 cases, but it was incorrect (i.e. a **synonym** in place of a **hypernym** and so on). These errors had three sources: the most common was a lack of identified explicit relationships; the next was lack of information from the shallow parse and the last was errors in the argument structure of the deep parse. [Tokunaga et al.](#page-132-2) [\(2001\)](#page-132-2) report slightly higher results for extracting noun relationships only (91.8%).

#### **8.3 Iwanami**

Iwanami's verification results are similar to Lexeed's (Table [4.3\)](#page-82-0). There are on average around 3% more verifications and a total of almost 20,000 more verified relations extracted. It is particularly interesting to note that deep processing performs better here than on Lexeed (63.82% vs 60.67%). Given that a lot of time and effort has gone into optimizing JACY for parsing Lexeed's definition sentence and comparatively little has been spent on Iwanami, it would seem strange to draw the conclusion from this that JACY parses Iwanami's definition sentences better. Rather, we hypothesize that such differences in results may be caused by differences in the information represented in these two lexicons. In particular, less familiar words have fewer senses, and easier to parse definition sentences. These results strongly support our claims that our ontological relation extraction system is easily adaptable to new lexicons.

## **8.4 GCIDE**

At first glance, it would seem that GCIDE has the most disappointing of the verification results with overall verification of not even 36% and only 16,483 relations confirmed. However, on closer inspection one can see that noun hypernyms are a respectable 57.60% with over 55% for all nouns. These figures are comparable with the results we are obtaining with the other lexicons. One should also bear in mind that the definitions found in GCIDE can be archaic; after all this dictionary was first published in 1913. This could be one cause of parsing errors for ERG. Despite these obstacles, we feel that GCIDE has a lot of potential for ontological acquisition. A dictionary of its size and coverage will most likely contain relations that may not be represented in other sources. One only has to look at the definition of ドライバー "doraiba"/*driver* to confirm this; GoiTaikei has two senses ("screwdriver" and "vehicle operator") Lexeed and Iwanami have 3 senses each (adding "golf club"), and WordNet has 5 (including "software driver"), but GCIDE has 6, not including "software driver" but including *spanker* "a kind of sail". It should be beneficial to propagate these different senses across ontologies.

## **9 Discussion**

We were able to successfully combine deep processing of various levels of depth in order to extract ontological information from lexical resources. We showed that, by using a well defined semantic representation, the extraction can be generalized so much that it can be used on very different dictionaries from different languages. This is an improvement on the common approach to using more and more detailed regular expressions (e.g. [Tokunaga et al.](#page-132-2) [\(2001\)](#page-132-2)). Although pattern-based methods provide a quick start, the results are not generally reusable. In comparison, the shallower RMRS engines are immediately useful for a variety of other tasks [\(Callmeier et al., 2004\)](#page-125-0)

However, because the hook is the only syntactic information returned by the shallow parser, ontological relation extraction is essentially performed by this hook-identifying heuristic. While this is sufficient for a large number of sentences, it is not possible to process special relations with the shallow parser since none of the arguments are linked with the predicates to which they belong. Thus, as Table [4.2](#page-80-0) shows, our shallow parser is only capable of retrieving hypernyms and synonyms. It is important to extract a variety of semantic relations in order to form a useful ontology. This is one of the reasons why we use a combination of parsers of different analytic levels rather than depending on a single resource.

The other innovation of our approach is the cross-lingual evaluation. As a byproduct of the evaluation we enhance the existing resources (such as GoiTaikei or WordNet) by linking them, so that information can be shared between them. In this way we can use the cross-lingual links to fill gaps in the monolingual resources. GoiTaikei and WordNet both lack complete cover - over half the relations were confirmed with only one resource. This shows that the machine readable dictionary is a useful source of these relations.

## **Chapter 5**

# **Open Source Natural Language Processing**

An important part of our research has been contributing back to the open source projects that made this work possible. We have made every effort to release all of the programs, data, and results so that the NLP community can benefit from them.

## **1 Ubuntu NLP**

Current natural language processing software often has complex dependencies and can be difficult to install and maintain manually. A good example of this is the Moses phrasal SMT system. In order for users to build their own systems, they must download, build, and install not only the Moses decoder and accompanying toolkits, but also the GIZA++ word aligner, and software to create language models. Manual maintenance of locally-installed software also poses problems for NLP research because it is difficult to keep versions of software with complex dependencies in sync across multiple machines.

Ubuntu Linux provides an advanced Debian-based package management system that makes it easy to package and distribute software through internet repositories. Software that requires compilation is compiled once and distributed as a binary saving users the time and effort of doing it on their own. Software dependencies can be specified, making it easy to insure that compatible versions of software are installed together.



Figure 5.1. The Ubuntu NLP Repository

We started the Ubuntu NLP Repository<sup>[1](#page-0-0)</sup> when we began packaging the software we used in own research, and as it expanded to include many widely-used tools, we made it public. Ubuntu NLP contains packages for popular POS taggers and parsers for English and Japanese, as well as tools for statistical machine translation and tools from the DELPH-IN community. Ubuntu NLP has been well received by the open source software community, and over 5,000 packages were downloaded from Ubuntu NLP per month in 2009. Tables [5.1](#page-90-0) and [5.2](#page-90-1) give overviews of the packages available

<sup>1</sup>[http://cl.naist.jp/˜eric-n/ubuntu-nlp/](http://cl.naist.jp/~eric-n/ubuntu-nlp/)

and their popularity.

## **2 Moses Make**

In order to simplify testing and development of Moses SMT systems, we also developed a Makefile-based automation system called *Moses Make*. Users fill out a makefile indicating the location of their training, development, and testing data, and then a simple call of the make command will create translation and language models, tune system parameters, and evaluate the system output by producing BLEU, NIST, and METEOR scores.

Moses Make also tokenizes and annotates data with POS, lemma form, and morphology information, providing a flexible framework for the exploration of factored translation models. Currently, Moses Make it supports the English, Italian, Japanese, and Spanish, but it can easily be extended to support any language with a POS tagger and morphological analyzer.

## **3** DELPH-IN **Contributions**

Our main contribution to DELPH-IN has been our Japanese  $\rightarrow$  English machine translation system, but we have made several other contributions.

We produced LOGON prototype systems for several other language pairs, including English $\rightarrow$ Japanese, Korean $\rightarrow$ Japanese, Spanish $\rightarrow$ Japanese, Norwegian $\leftrightarrow$ Japanese, and an English $\rightarrow$ English system for use in paraphrasing.

We made regular contributions to the PET unification parser, providing bug fixes and additional features. We developed a toolset, *Delphin Tools*[2](#page-0-0) that simplify the usage of the HPSG framework by automating the parsing, translation, and generation processes. This was distributed along with the paraphrases we made in Chapter [3.](#page-47-0)

Finally, we have worked to improve the primary resources that we used. We expanded the lexical coverage both JACY and ERG to suit the BTEC and Tanaka corpora, and we released amendments and additions to the Tanaka Corpus and JMdict to the NLP community.

<sup>&</sup>lt;sup>2</sup> available on Ubuntu NLP

Category	Packages
delph-in	ecl, erg, hog, itsdb, jacy, lkb, logon, pet, utool
english	geniatagger, libwordnet-querydata-perl, morph, python-pywordnet
japanese	cabocha, chasen, crf++, libmecab-perl, libtext-chasen-perl, mecab,
	mecab-ipadic, mecab-naist-jdic, python-chasen, python-mecab,
	python-romkan, tinysvm, yamcha
nlp	freeling, giza++, libcfg+, libfries, libomlet, meteor, mgiza++, mkcls,
	moses, moses make, python-nltk, python-nltk-data, python-nltk-doc,
	srilm, treetagger, treetagger-english, treetagger-italian,
	treetagger-spanish

<span id="page-90-0"></span>Table 5.1. Ubuntu NLP categories and packages



<span id="page-90-1"></span>Table 5.2. The top 20 downloaded Ubuntu NLP packages of 2009

## **Chapter 6**

## **Conclusion**

## **1 Semantic Transfer Based Machine Translation**

We greatly expanded the coverage of a Japanese $\rightarrow$ English semantic transfer-based machine translation system on two corpora using a combination of hand-crafted and automatically acquired transfer rules. Transfer rules we acquired from a bilingual dictionary and directly from parallel corpora via a statistical machine translation system. All of the components in our system are open source: the system itself and all the resources used in it are available for download.

Our system uses a rich semantic representation as a transfer language, allowing the development of powerful transfer rules that produce high-quality translations. By targeting an appropriate corpus for development, automatically acquiring rules from a bilingual dictionary, and hand-crafting transfer rules to handle the most common linguistic phenomenon, we were able to greatly extend our system's coverage.

## **2 Paraphrasing for SMT**

Large amounts of training data are essential for training statistical machine translation systems. We showed how training data can be expanded by paraphrasing one side of a parallel corpus. The new data is made by parsing then generating using an opensource, precise HPSG-based grammar. This gives sentences with the same meaning, but with minor variations in lexical choice and word order. In experiments paraphras-

ing the English in the Tanaka Corpus, a freely-available Japanese-English parallel corpus, we showed consistent, statistically-significant gains on training data sets ranging from 10,000 to 147,000 sentence pairs in size as evaluated by the BLEU and METEOR automatic evaluation metrics.

## **3 Ontology Construction**

We have successfully constructed a large-scale Japanese-English ontology from machine readable dictionaries. Our ontology has been verified both through human evaluation and by comparison to existing ontologies. Research by colleagues has demonstrated that this ontology is useful in improving the stochastic ranking models used in our HPSG parsers [\(Fujita et al., 2007\)](#page-127-2), and it shows promise for helping to build better translation models for JaEn and to improve alignment, and subsequently, transfer rule quality.

## **4 Open Source NLP**

In an effort to foster open science, throughout our research we have made many contributions to the open source natural language community. We established Ubuntu NLP, a repository of NLP software packaged for the Ubuntu Linux operating system to help improve the access and usability of NLP software. We also made significant contributions to the Moses SMT community and the DELPH-IN deep processing community by providing tools and fixes to their software. Finally, we collaborated with the creators of corpora and dictionaries to enrich these resources for the benefit of the NLP research community.

# **Appendices**

## **A Transfer Rule Types**

#### **A.1 Common Nouns**

```
noun_mtr := monotonic_mtr &
[ INPUT.RELS < [ LBL #h1, ARG0 #x1 ] >,
 OUTPUT.RELS < [ LBL #h1, ARG0 #x1 ] > ].
```
## **A.2 Intransitive Verbs**

```
arg1_v_mtr := monotonic_mtr &
```

```
[ INPUT.RELS < [ LBL #h1, ARG0 #e1, ARG1 #x1 ] >,
 OUTPUT.RELS < [ LBL #h1, ARG0 #e1, ARG1 #x1 ] > ].
```
## **A.3 Transitive Verbs**

```
arg12_v_mtr := monotonic_mtr \&
```

```
[ INPUT.RELS < [ LBL #h1, ARG0 #e1, ARG1 #x1, ARG2 #x2 ] >,
 OUTPUT.RELS < [ LBL #h1, ARG0 #e1, ARG1 #x1, ARG2 #x2 ] > ].
```
## **A.4 Adjectives and Adverbs**

```
intersective_attribute_mtr := monotonic_mtr &
[ INPUT.RELS < [ LBL #h1, ARG0 #e2, ARG1 #i3 ] >,
 OUTPUT.RELS < [ LBL #h1, ARG0 #e2, ARG1 #i3 ] > ].
adjective_mtr := intersective_attribute_mtr &
[ INPUT.RELS < [ LBL #h1, ARG0 #e2, ARG1 #p3 & p ] >,
 OUTPUT.RELS < [ LBL #h1, ARG0 #e2, ARG1 #p3 ] >,
 FLAGS.SUBSUME < #p3 > ].
relational_adjective_mtr := adjective_mtr &
[ INPUT.RELS < [ ARG2 #i1 ] >,
 OUTPUT.RELS < [ ARG2 #i1 ] > ].
intersective adverb mtr := intersective attribute mtr &
```

```
[ INPUT.RELS < [ LBL #h1, ARG1 #e2 & e ] >,
 OUTPUT.RELS < [ LBL #h1, ARG1 #e2 ] >,
 FLAGS.EQUAL < #e2 > ].
scopal_adverb_mtr := monotonic_mtr &
[ INPUT.RELS < [ LBL #h1, ARG1 #h2 & h ] >,
  OUTPUT.RELS < [ LBL #h1, ARG1 #h2 ] > ].
intersective_scopal_adverb_mtr := monotonic_mtr &
[ CONTEXT.RELS < [ LBL #h1, ARG0 #e2 & e ] >,
  INPUT [ RELS < [ LBL #h1, ARG1 #e2 ] >,
           HCONS < qeq \& [HARG #h0, LARG #h1 ] > ],
 OUTPUT [ RELS < [ LBL #h3, ARG1 h & #h4 ] >,
           HCONS < qeq & [ HARG #h0, LARG #h3 ],
                   qeq & [ HARG #h4, LARG #h1 ] > ] ].
```
## **A.5 Adj+Noun**!**Adj+Noun**

```
adj*n_adj+n_mtr := monotonic_mtr &
[ INPUT.RELS < [ ARG0 #e2 & e ],
                [ LBL #h3, ARG0 #x4 ],
                [ PRED "unspec_rel",
                  LBL #h3, ARG1 #x4, ARG2 #e2 ] >,
  OUTPUT.RELS < [ LBL #h3, ARG0 #e2, ARG1 #x4 ],
                [ LBL #h3, ARG0 #x4 ] >,
 FLAGS.EQUAL < #e2 > ].
```
## **A.6 Adj+Noun**!**Noun**

```
adj+n_n_mtr := monotonic_mtr &
[ INPUT.RELS < [ LBL #h1, ARG0 e_untensed, ARG1 #x2 ],
                [ LBL #h1, ARG0 #x2 ] >,
 OUTPUT.RELS < [ LBL #h1, ARG0 #x2 ] > ].
```
#### **A.7 Noun-Noun Compounds**

```
n+n_n+n_mtr := monotonic_mtr &
[ CONTEXT.RELS < [ PRED "unspec_rel",
                   LBL #h3, ARG1 #x4, ARG2 #x2 ] >,
  INPUT.RELS < [ LBL #h1, ARG0 #x2 ],
                [ LBL #h3, ARG0 #x4 ] >,
 OUTPUT.RELS < [ LBL #h1, ARG0 #x2 ],
                [ LBL #h3, ARG0 #x4 ] > ].
```
## **A.8 Noun+Noun**!**Adj+Noun**

```
n+n_adj+n_mtr := monotonic_mtr &
[ INPUT [ RELS < [ LBL #h1, ARG0 #x2 ],
                 [ LBL #h3, ARG0 #x4 ],
                 [ PRED "unspec_rel",
                   LBL #h3, ARG1 #x4, ARG2 #x2 ],
                 [ PRED "udef_q_rel", ARG0 #x2, RSTR #h5 ] >,
          HCONS < qeq & [ HARG #h5, LARG #h1 ] > ],
 OUTPUT.RELS < [ LBL #h3, ARG0 e_untensed, ARG1 #x4 ],
                [ LBL #h3, ARG0 #x4 ] > ].
```
### **A.9 Noun+Noun**!**Noun**

```
n+n_n_mtr := monotonic_mtr &
[ INPUT [ RELS < [ LBL #h1, ARG0 #x2 ],
                 [ LBL #h3, ARG0 #x4 ],
                 [ PRED "unspec_rel",
                   LBL #h3, ARG1 #x4, ARG2 #x2 ],
                 [ PRED "udef_q_rel", ARG0 #x2, RSTR #h5 ] >,
          HCONS < qeq \& [ HARG #h5, LARG #h1 ] > ],
  OUTPUT.RELS < [ LBL #h3, ARG0 #x4 ] > ].
```
## **A.10 Noun**!**Adj+Noun**

```
n_adj+n_mtr := monotonic_mtr &
```

```
[ INPUT.RELS < [ LBL #h1, ARG0 #x2 ] >,
 OUTPUT.RELS < [ LBL #h1, ARG0 e_untensed, ARG1 #x2 ],
                [ LBL #h1, ARG0 #x2 ] > ].
```
## A.11 Noun $\rightarrow$ Noun+Noun

```
n_n+n_mtr := monotonic_mtr &
[ INPUT.RELS < [ LBL #h1, ARG0 #x2 ] >,
  OUTPUT [ RELS < [ LBL #h3, ARG0 #x4 ],
                  [ LBL #h1, ARG0 #x2 ],
                  [ PRED compound_rel,
                    LBL #h1, ARG1 #x2, ARG2 #x4 ],
                  [ PRED udef_q_rel,
                    ARG0 #x4 & [ PERS 3, NUM sg, GRIND - ],
                    RSTR #h5 ] >,
           HCONS < qeq & [ HARG #h5, LARG #h3 ] > ] ].
```
## **B Hand-crafted Transfer Rules**

## **B.1 Requests of Action**

```
request_m := monotonic_mtr &
 [ INPUT [ RELS < [ PRED "ja:command_m_rel",
                     LBL #h0, ARG0 #e0, MARG #m4 ],
  [ PRED "ja:_kudasaru_v_2_rel",
                    LBL #h4, ARG0 #e0, ARG3 #h3 ],
  [ PRED "ja:proposition_m_rel",
                    LBL #h3, ARGO #e1, MARG #m2 ] >,
   HCONS < qeq & [ HARG #m4, LARG #h4 ],
   qeq \& [ HARG \text{\#m2}, LARG \text{\#h5} ] > ],
   OUTPUT [ RELS < [ PRED imp_m_rel,
                      LBL #h0, ARG0 #e1 & [ TENSE pres ],
     MARG #m2, PSV #p0, TPC #t0 ],
   [ PRED polite_rel, LBL #h5,
     ARG0 #i & i, ARG1 #e1, CARG "please" ] >,
    HCONS < qeq & [ HARG #m2, LARG #h5 ] > ],
   FLAGS.EQUAL < #i > ].
```
## **B.2 Requests of Possession**

```
kudasai_v_gimme_v := monotonic_mtr &
 [ CONTEXT.HCONS < qeq & [ HARG #hm, LARG #hv ] >,
      INPUT [ RELS < [ PRED "ja:command_m_rel",
                       LBL #h0, ARG0 #e0, MARG #hm ],
  [ PRED "ja:_kudasaru_v_1_rel",
                    LBL #hv, ARG0 #e0, ARG2 #x2 ] > ],
   OUTPUT [ RELS < [ PRED imp_m_rel,
                     LBL #h0, ARG0 #e0 & [ TENSE pres ],
     MARG #hm, PSV #p0, TPC #t0 ],
   [ PRED polite_rel, LBL #hv,
     ARG0 #i & i, ARG1 #e0, CARG "please" ],
   [ PRED "_give_v_1_rel", LBL #hv, ARG0 #e0,
     ARG1 #x1, ARG2 #x2, ARG3 #x3 ],
```

```
[ PRED pronoun_q_rel, ARG0 #x1, RSTR #hr1 ],
[ PRED pron_rel, LBL #hp1, ARG0 #x1 &
[PERS 2, NUM sg, PRONTYPE zero_pron] ],
[ PRED pronoun_q_rel, ARG0 #x3, RSTR #hr ],
[ PRED pron_rel, LBL #hp, ARG0 #x3 &
[ PERS 1, NUM sg, PRONTYPE std_pron] ]
>,
HCONS < qeq & [ HARG #hr1, LARG #hp1 ],
 qeq \& [ HARG #hr, LARG #hp ] > ],
FLAGS.EQUAL < #i > ].
```
## **B.3 Politeness**

```
gozaru_v--exist_v_jf := arg1_v_mtr &
[ INPUT.RELS < [ PRED "ja:_gozaru_v_1_rel" ] >,
OUTPUT.RELS < [ PRED "ja:_exist_v_rel" ] > ].
```

```
iru_v--exist_v_jf := arg1_v_mtr &
[ INPUT.RELS < [ PRED "ja:_iru_v_be_rel" ] >,
OUTPUT.RELS < [ PRED "ja:_exist_v_rel" ] > ].
```
## **B.4 Comparatives**

```
motto_a_rel-comp_rel-mtr := adjective_mtr &
[ JA.RELS < [ PRED "ja: motto_a_rel" ] >,
 EN.RELS < [ PRED comp_rel ] > ].
yori a rel-comp rel-mtr := adjective mtr &
[ JA.RELS < [ PRED "ja: yori_a_rel" ] >,
 EN.RELS < [ PRED comp_rel ] > ].
mousukoshi_a_rel-a_little+comp_rel-mtr := monotonic_mtr &
 [ JA.RELS < [ PRED "ja:_mousukoshi_a_rel",
               LBL #h, ARG0 #e, ARG1 #e1 ] >,
   EN.RELS < [ PRED comp_rel, LBL #h, ARG0 #e, ARG1 #e1],
     [ PRED "_a+little_x_deg_rel",
```
LBL #h, ARG0 e, ARG1 #e]> ].

## **B.5 Superlatives**

```
ichiban-superlative-mtr := intersective_adverb_mtr &
[ JA.RELS < [ PRED "ja:_ichiban_a_rel", ARG1 #e ] >,
  EN.RELS < [ PRED superl_rel ] > ].
```

```
mottomo-superlative-mtr := intersective_adverb_mtr &
[JA.RELS < [PRED "ja: motion a rel" ] >,
  EN.RELS < [ PRED superl_rel ] > ].
```
## **B.6 Verb Modifying Comparatives and Superlatives**

```
most_ef := intersective_adverb_mtr &
 [ CONTEXT.RELS < [ PRED "\sim_V", ARGO #e ] >,
   INPUT.RELS < [ PRED superl_rel, ARG1 #e ] >,
   OUTPUT.RELS < [ PRED "_most_a_1_rel" ] > ].
more_ef := intersective_adverb_mtr &
 [ CONTEXT.RELS < [ PRED "\sim v ", ARG0 #e ] >,
   INPUT.RELS < [ PRED comp_rel, ARG1 #e ] >,
   OUTPUT.RELS < [ PRED "_more_a_1_rel" ] > ].
very-a_lot_ef := intersective_adverb_mtr &
 [ CONTEXT.RELS < [ PRED "˜_v_", ARG0 #e ] >,
   INPUT.RELS < [ PRED "_very_x_deg_rel", ARG1 #e ] >,
   OUTPUT.RELS < [ PRED "_a+lot_a_1_rel" ] > ].
```
### **B.7 Zero Pronoun Insertion**

```
zero_arg3_123_ef := optional_mtr &
 [ INPUT.RELS < [ PRED #pred, LBL #h, ARG0 #e,
 ARG1 #x1, ARG2 #x2, ARG3 #z3 & u] >,
   OUTPUT [ RELS < [ PRED #pred, LBL #h,
     ARG0 #e, ARG1 #x1, ARG2 #x2, ARG3 #x3],
```

```
[ PRED pronoun_q_rel, ARG0 #x3, RSTR #h1 ],
   [ PRED pron_rel, LBL #h2,
     ARG0 #x3 & [PRONTYPE std_pron] ] >,
   HCONS < qeq & [ HARG #h1, LARG #h2 ] > ],
   FLAGS.EQUAL < #h, #z3 > ].zero_arg2_123_ef := optional_mtr &
 [ INPUT.RELS < [ PRED #pred, LBL #h, ARG0 #e,
 ARG1 #x1, ARG2 #z2 & u, ARG3 #x3 & i] >,
   OUTPUT [ RELS < [ PRED #pred, LBL #h,
     ARG0 #e, ARG1 #x1, ARG2 #x2, ARG3 #x3],
   [ PRED pronoun_q_rel, ARG0 #x2, RSTR #h1 ],
   [ PRED pron_rel, LBL #h2,
     ARG0 #x2 & [PRONTYPE std pron] ] >,
   HCONS < qeq \& [HARG #h1, LARG #h2 ] > ],FLAGS [ EQUAL < #h, #z2 >,
  SUBSUME < #x3 > ] ].
zero_arg1_123_ef := monotonic_mtr &
 [ INPUT.RELS < [ PRED #pred, LBL #h, ARG0 #e,
 ARG1 #z1 & u, ARG2 #x2 & i, ARG3 #x3 & i] >,
   OUTPUT [ RELS < [ PRED #pred, LBL #h,
     ARG0 #e, ARG1 #x1, ARG2 #x2, ARG3 #x3],
   [ PRED pronoun_q_rel, ARG0 #x1, RSTR #h1 ],
   [ PRED pron_rel, LBL #h2,
     ARG0 #x1 & [PRONTYPE std_pron] ] >,
   HCONS < qeq & [ HARG #h1, LARG #h2 ] > ],
   FLAGS [ EQUAL < #h, #z1 >,
  SUBSUME < #x2, #x3 > ] ].
zero_arg2_12_ef := optional_mtr &
 [ INPUT.RELS < [ PRED #pred, LBL #h, ARG0 #e,
 ARG1 #x1, ARG2 #z2 & u] >,
   OUTPUT [ RELS < [ PRED #pred, LBL #h,
     ARG0 #e, ARG1 #x1, ARG2 #x2],
   [ PRED pronoun_q_rel, ARG0 #x2, RSTR #h1 ],
```

```
90
```

```
[ PRED pron_rel, LBL #h2,
     ARG0 #x2 & [PRONTYPE std_pron] ] >,
   HCONS < qeq & [ HARG #h1, LARG #h2 ] > ],
   FLAGS.EQUAL < #h, #z2 >].
zero_arg1_12_ef := monotonic_mtr &
 [ INPUT.RELS < [ PRED #pred, LBL #h, ARG0 #e,
 ARG1 #z1 & u, ARG2 #x2 & i] >,
   OUTPUT [ RELS < [ PRED #pred, LBL #h,
     ARG0 #e, ARG1 #x1, ARG2 #x2],
   [ PRED pronoun_q_rel, ARG0 #x1, RSTR #h1 ],
   [ PRED pron_rel, LBL #h2,
     ARG0 #x1 & [PRONTYPE std_pron] ] >,
   HCONS < qeq & [ HARG #h1, LARG #h2 ] > ],
   FLAGS [EOUAL < #h, #z1 >,
  SUBSUME < #x2 > ] ].
zero_arg1_1_ef := monotonic_mtr &
 [ INPUT.RELS < [ PRED #pred, LBL #h, ARG0 #e,
 ARG1 #z1 & u] >,
   OUTPUT [ RELS < [ PRED #pred, LBL #h,
     ARG0 #e, ARG1 #x1],
   [ PRED pronoun_q_rel, ARG0 #x1, RSTR #h1 ],
   [ PRED pron_rel, LBL #h2,
     ARG0 #x1 & [PRONTYPE std_pron] ] >,
   HCONS < qeq & [ HARG #h1, LARG #h2 ] > ],
   FLAGS.EQUAL < #h, #z1 >].
```
## **C Translation Examples**

Given below are the first 25 translations produced by JaEn on the IWSLT 2006 shared task training data and the Tanaka Corpus development data. The system output is completely unedited, and the top 5 ranked translations are listed for each successfully translated sentence. The number to the left of each translation indicates its sentence ID in the data set.

## **C.1 IWSLT 2006 Corpus**



**target** Is it serious illnesses? **target** Is it a serious illness?
























































### **C.2 Tanaka Corpus**



















































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